

# CPC COOPERATIVE PATENT CLASSIFICATION

## C CHEMISTRY; METALLURGY

(NOTES omitted)

### CHEMISTRY

## C12 BIOCHEMISTRY; BEER; SPIRITS; WINE; VINEGAR; MICROBIOLOGY; ENZYMOLOGY; MUTATION OR GENETIC ENGINEERING

(NOTES omitted)

## C12M APPARATUS FOR ENZYMOLOGY OR MICROBIOLOGY; {APPARATUS FOR CULTURING MICROORGANISMS FOR PRODUCING BIOMASS, FOR GROWING CELLS OR FOR OBTAINING FERMENTATION OR METABOLIC PRODUCTS, i.e. BIOREACTORS OR FERMENTERS}

### NOTES

1. In this subclass the term microorganism includes prokaryotic and eukaryotic cells. Viruses, human, animal or plant cells, protozoa, tissues and unicellular algae are considered microorganisms.
2. When classifying an apparatus according to its use in group [C12M 21/00](#), classification should also be given in at least one of the groups [C12M 23/00-C12M 99/00](#).
3. This subclass covers apparatus or devices for the fermentation or for growing microorganisms or animal tissues of both laboratory and industrial scale, i.e. bioreactors.
4. This subclass covers also apparatus or devices for the pre-treatment or after-treatment of the biomass or microorganisms to be cultured or that have been cultured.
5. This subclass does not cover the methods or processes taking place in the bioreactors that are not based on the use of the parts of the apparatus.
6. This subclass does not cover:
  - apparatus for culturing plant tissue, which are covered by [A01H 4/001](#);
  - apparatus for preservation of living parts of bodies of humans or animals, which are covered by [A01N 1/0242](#);
  - apparatus or devices for testing sterility conditions not linked to a bioreactor or fermenter growing biomass, which are covered by [A61L 2/00](#), [G01N 31/226](#);
  - apparatus for biological treatment of water, waste water, sewage or sludge, which are covered by [C02F 3/00](#), [C02F 11/00](#);
  - apparatus for brewing of beer, which are covered by [C12C](#);
  - apparatus for production of wine or vinegar, which are covered by [C12G](#), [C12J 1/10](#);
  - apparatus or devices for DNA and RNA technology, which are covered by [B01L 7/52](#), [B01J 19/0046](#), [C12N 15/1003](#);
  - fermentation processes, which are covered by [C12P](#);
  - apparatus for bioleaching of ores, which are covered by [C22B 3/18](#);
  - removing cellulose from cellulosic substances, which is covered by [D21C](#);
  - apparatus or devices for sampling, detection, investigation or analysis of microorganisms or biosensors, which are covered by [G01N 33/48](#);
  - apparatus for automatic analysis not linked to a bioreactor or fermenter growing biomass, which are covered by [G01N 35/00](#);
  - testing or evaluating the effect of a chemical or biological compound involving human or animal cells, which are covered by [G01N 33/5005](#);
  - apparatus for immunological test processes, which are covered by [G01N 33/5302](#).

### WARNING

In this subclass non-limiting references (in the sense of paragraph 39 of the Guide to the IPC) may still be displayed in the scheme.

## 1/00 Apparatus for enzymology or microbiology

### NOTE

This group covers:

- apparatus where microorganisms or enzymes are produced or isolated;
- apparatus where the characteristics of microorganisms or enzymes are investigated, e.g. which growth factors are necessary;

- apparatus specially adapted to employ microorganisms or enzymes as "reactants" or biocatalysts;
- apparatus of both laboratory and industrial scale.

- |       |                                 |
|-------|---------------------------------|
| 1/002 | • {Photo bio reactors}          |
| 1/005 | • {Incubators}                  |
| 1/007 | • {Flexible bags or containers} |

1/02	. with agitation means; with heat exchange means	1/3492	. . {with use of lecture and interpretation devices, grids}
1/04	. with gas introduction means	1/36	. including condition or time responsive control, e.g. automatically controlled fermentors (controlling or regulating in general G05)
1/045	. . {providing an anaerobic atmosphere}	1/38	. . Temperature-responsive control
1/06	. . with agitator, e.g. impeller	1/40	. Apparatus specially designed for the use of free, immobilised, or carrier-bound enzymes, e.g. apparatus containing a fluidised bed of immobilised enzymes
1/065	. . . {on a horizontal axis}	1/42	. Apparatus for the treatment of microorganisms or enzymes with electrical or wave energy, e.g. magnetism, sonic waves
1/08	. . with draft tube		
1/09	. . Flotation apparatus		
1/10	. rotatably mounted		
1/107	. with means for collecting fermentation gases, e.g. methane (producing methane by anaerobic treatment of sludge C02F 11/04)		
1/113	. . with transport of the substrate during the fermentation		
1/12	. with sterilisation, filtration or dialysis means	3/00	<b>Tissue, human, animal or plant cell, or virus culture apparatus</b>
1/121	. . {with sterilisation means}	3/003	. {for culture in eggs}
1/123	. . {with flat plate filter elements}	3/006	. {Cell injection or fusion devices}
1/125	. . . {Culture inserts}	3/02	. with means providing suspensions
1/126	. . {with hollow fibres or tubular filter elements}	3/04	. with means providing thin layers
1/128	. . {with moving or mobile filter elements}	3/043	. . {rotatably mounted}
1/14	. with means providing thin layers or with multi-level trays	3/046	. . . {Roller bottles}
1/16	. containing, or adapted to contain, solid media	3/06	. with filtration, ultrafiltration, inverse osmosis or dialysis means
1/165	. . {treated with gel punching devices}	3/062	. . {with flat plate filter elements}
1/18	. . Multiple fields or compartments	3/065	. . {with hollow fibres or tubes}
1/20	. . . Horizontal planar fields	3/067	. . {with moving or mobile filter elements}
1/203	. . . . {Disc dispensing devices therefor}	3/08	. Apparatus for tissue disaggregation
1/206	. . . . {Multiple discs supporting devices}	3/10	. for culture in eggs
1/21	. Froth suppressors		
1/22	. Petri type dish	21/00	<b>{Bioreactors or fermenters specially adapted for specific uses (digesters for manure A01C 3/023; apparatus for PCR B01L 7/52; destroying or transforming solid waste B09B 3/00; methods for genetic engineering C12N 15/00, C12Q 1/68; nucleic acid amplification reactions C12Q 1/6844)}</b>
1/24	. tube or bottle type (anaerobic jars C12M 1/045)	21/02	. {Photobioreactors (culturing algae A01G 33/00, A01H 4/001, C12N 1/12)}
1/26	. Inoculator or sampler	21/04	. {for producing gas, e.g. biogas (digesters for manure with production of biogas A01C 3/028, biological treatment of water, waste water or sewage C02F 3/00, C02F 11/02, preparation of natural gas or syngas C10L 3/06, C10L 3/10)}
1/261	. . {Airborne microorganism samplers}	21/06	. {for in vitro fertilization}
1/262	. . {Handle streaking devices}	21/08	. {for producing artificial tissue or for ex-vivo cultivation of tissue (prostheses A61F 2/00, grafts A61L 27/00)}
1/263	. . {Replica plating devices}	21/10	. {adapted for the cultivation of avian eggs or in avian eggs, e.g. for vaccine production}
1/264	. . {Devices involving centrifugal, centripetal or rotational forces}	21/12	. {for producing fuels or solvents (C12M 21/04 takes precedence; liquid carbonaceous fuels C10L 1/00, solid fuels C10L 5/00)}
1/265	. . {Pipettes; Syringes; Suction devices}	21/14	. {for producing enzymes}
1/266	. . {Magnetic separators}	21/16	. {Solid state fermenters, e.g. for koji production}
1/267	. . {Biofilm separators}	21/18	. {Apparatus specially designed for the use of free, immobilized or carrier-bound enzymes}
1/268	. . {Positioning tools for sampling or inoculating devices}	23/00	<b>{Constructional details, e.g. recesses, hinges (flow directing inserts in C12M 27/18-C12M 27/24; apparatus for chemical or physical processes in general B01J, chemical or physical laboratory apparatus in general B01L)}</b>
1/28	. . being part of container	23/02	. {Form or structure of the vessel (large containers B65D 88/00)}
1/30	. . . Sampler being a swab		
1/32	. . multiple field or continuous type		
1/33	. Disintegrators		
1/34	. Measuring or testing with condition measuring or sensing means, e.g. colony counters		
1/3407	. . {Measure of electrical or magnetical factor}		
1/3415	. . {Pressure measure, e.g. with manometers, respirometers}		
1/3423	. . {Calorimetry}		
1/343	. . {Mass spectrometry}		
1/3438	. . {with use of isotopes, e.g. radiorespirometers, scintillometers}		
1/3446	. . {Photometry, spectroscopy, laser technology}		
1/3453	. . . {Opacity, turbidity or light transmission measure; Nephelometry}		
1/3461	. . . {Bio- or chemi-luminescence}		
1/3469	. . . {Infra red spectroscopy}		
1/3476	. . . {Fluorescence spectroscopy}		
1/3484	. . {Pen or contact colony counters}		

23/04	. . {Flat or tray type, drawers ( <a href="#">C12M 23/10</a> , <a href="#">C12M 23/12</a> , <a href="#">C12M 23/16</a> take precedence)}	25/20	. . {Fluidized bed (in chemical or physical processes <a href="#">B01J 8/18</a> )}
23/06	. . {Tubular ( <a href="#">C12M 23/08</a> , <a href="#">C12M 23/16</a> take precedence)}	<b>27/00</b>	<b>{Means for mixing, agitating or circulating fluids in the vessel (by introduction of gas <a href="#">C12M 29/06</a>, <a href="#">C12M 29/14</a>, mixing in general or mixers <a href="#">per se</a> <a href="#">B01F</a>; mixing in apparatus for chemical or physical processes <a href="#">B01J</a>)}</b>
23/08	. . {Flask, bottle or test tube}	27/02	. {Stirrer or mobile mixing elements}
23/10	. . {Petri dish (crystallising dishes <a href="#">B01L 3/06</a> )}	27/04	. . {with introduction of gas through the stirrer or mixing element}
23/12	. . {Well or multiwell plates ( <a href="#">C12M 25/04</a> takes precedence)}	27/06	. . {with horizontal or inclined stirrer shaft or axis}
23/14	. . {Bags}	27/08	. . {with different stirrer shapes in one shaft or axis}
23/16	. . {Microfluidic devices; Capillary tubes (integrated microfluidic structures <a href="#">B01L 3/5027</a> ; microreactors <a href="#">B01J 19/0093</a> )}	27/10	. {Rotating vessel}
23/18	. . {Open ponds; Greenhouse type or underground installations}	27/12	. . {Roller bottles; Roller tubes}
23/20	. {Material Coatings (immunocoatings <a href="#">C12M 25/00</a> )}	27/14	. {Rotation or movement of the cells support, e.g. rotated hollow fibers}
23/22	. {Transparent or translucent parts (glassware for laboratory use <a href="#">B01L 3/00</a> )}	27/16	. {Vibrating; Shaking; Tilting}
23/24	. {Gas permeable parts}	27/18	. {Flow directing inserts}
23/26	. {flexible (flexible containers for laboratory use <a href="#">B01L 3/505</a> )}	27/20	. . {Baffles; Ribs; Ribbons; Auger vanes}
23/28	. {disposable or single use}	27/22	. . {Perforated plates, discs or walls}
23/30	. {biodegradable}	27/24	. . {Draft tube ( <a href="#">C12M 29/08</a> takes precedence)}
23/32	. {Frangible parts}	<b>29/00</b>	<b>{Means for introduction, extraction or recirculation of materials, e.g. pumps (pumps <a href="#">per se</a> <a href="#">F04B</a>)}</b>
23/34	. {Internal compartments or partitions}	29/02	. {Percolation}
23/36	. {Means for collection or storage of gas; Gas holders}	29/04	. {Filters; Permeable or porous membranes or plates, e.g. dialysis}
23/38	. {Caps; Covers; Plugs; Pouring means}	29/06	. {Nozzles; Sprayers; Spargers; Diffusers ( <a href="#">per se</a> <a href="#">B01F 3/04106</a> , <a href="#">B01J 19/26</a> )}
23/40	. {Manifolds; Distribution pieces (fluid transfer means <a href="#">B01L 3/563</a> )}	29/08	. . {Air lift}
23/42	. {Integrated assemblies, e.g. cassettes or cartridges}	29/10	. {Perfusion}
23/44	. {Multiple separable units; Modules}	29/12	. {Pulsatile flow}
23/46	. {Means for fastening}	29/14	. {Pressurized fluid}
23/48	. {Holding appliances; Racks; Supports (holding devices for laboratory apparatus <a href="#">B01L 9/00</a> )}	29/16	. {Hollow fibers (hollow fiber modules in general <a href="#">B01D 63/02</a> )}
23/50	. {Means for positioning or orientating the apparatus ( <a href="#">C12M 41/08</a> takes precedence)}	29/18	. {External loop; Means for reintroduction of fermented biomass or liquid percolate (loop type reactors for chemical or physical processes <a href="#">B01J 19/2435</a> )}
23/52	. {Mobile; Means for transporting the apparatus (transportable laboratories <a href="#">B01L 1/52</a> )}	29/20	. {Degassing; Venting; Bubble traps (means for collection or storage of gas <a href="#">C12M 23/36</a> ; gas collection apparatus for laboratory use <a href="#">B01L 5/02</a> )}
23/54	. {hand portable}	29/22	. . {Oxygen discharge}
23/56	. {Floating elements}	29/24	. {Recirculation of gas}
23/58	. {Reaction vessels connected in series or in parallel (combinations of bioreactors with other apparatus, <a href="#">C12M 43/00</a> )}	29/26	. {Conditioning fluids entering or exiting the reaction vessel}
<b>25/00</b>	<b>{Means for supporting, enclosing or fixing the microorganisms, e.g. immunocoatings}</b>	<b>31/00</b>	<b>{Means for providing, directing, scattering or concentrating light (<a href="#">C12M 41/06</a> takes precedence)}</b>
25/01	. {Drops}	31/02	. {located outside the reactor}
25/02	. {Membranes; Filters (filters or filtration in general <a href="#">B01D 24/00</a> - <a href="#">B01D 41/00</a> )}	31/04	. . {Mirrors}
25/04	. . {in combination with well or multiwell plates, i.e. culture inserts}	31/06	. . {Lenses}
25/06	. {Plates; Walls; Drawers; Multilayer plates}	31/08	. {by conducting or reflecting elements located inside the reactor or in its structure}
25/08	. . {electrically charged}	31/10	. {by light emitting elements located inside the reactor, e.g. LED or OLED}
25/10	. {Hollow fibers or tubes (hollow fiber modules in general <a href="#">B01D 63/02</a> )}	31/12	. {Rotating light emitting elements}
25/12	. . {the culture medium flowing outside the fiber or tube}		
25/14	. {Scaffolds; Matrices (in general <a href="#">C12N 5/0068</a> )}		
25/16	. {Particles; Beads; Granular material; Encapsulation (chemical or physical processes conducted in the presence of fluids and solid particles <a href="#">B01J 8/00</a> )}		
25/18	. . {Fixed or packed bed}		

<b>33/00</b>	<b>{Means for introduction, transport, positioning, extraction, harvesting, peeling or sampling of biological material in or from the apparatus}</b> (chemical or physical laboratory apparatus in general <a href="#">B01L</a> , devices for taking cell samples <a href="#">A61B 10/0045</a> , withdrawing or distributing predetermined quantities of fluid <a href="#">B01L 99/00</a> )	<b>41/00</b>	<b>{Means for regulation, monitoring, measurement or control, e.g. flow regulation}</b> (controlling or regulating chemical, physical or physicochemical processes <a href="#">B01J 19/0006</a> ; heating or cooling apparatus for laboratory use <a href="#">B01L 7/00</a> ; electro optical investigation of individual particles, flow cytometers <a href="#">G01N 15/14</a> ; automatic analysis <a href="#">G01N 35/00</a> ; controlling or regulating in general <a href="#">G06N</a> )
33/02	• {by impregnation, e.g. using swabs or loops (fluid transport using swabs <a href="#">B01L 3/5029</a> )}	41/02	• {of foam (foam prevention during gasification of liquids <a href="#">B01D 19/02</a> )}
33/04	• {by injection or suction, e.g. using pipettes, syringes, needles (pipettes in general <a href="#">B01L 3/02</a> )}	41/04	• • {Means for foam enhancement (making foam by mixing <a href="#">B01F 3/04446</a> )}
33/06	• • {for multiple inoculation or multiple collection of samples}	41/06	• {of illumination}
33/07	• • {Dosage or metering devices therefore}	41/08	• • {Means for changing the orientation}
33/08	• {by vibration}	41/10	• • {Filtering the incident radiation}
33/10	• {by centrifugation (centrifuges in general <a href="#">B04B</a> ); Cyclones (cyclones in general <a href="#">B04C</a> )}	41/12	• {of temperature (controlling the temperature of chemical or physical processes <a href="#">B01J 19/0013</a> , heating or cooling apparatus for laboratory use <a href="#">B01L 7/00</a> )}
33/12	• {by pressure}	41/14	• • {Incubators; Climatic chambers ( <i>per se</i> <a href="#">B01L 1/00</a> )}
33/14	• {with filters, sieves or membranes}	41/16	• • {by recirculation of culture medium at controlled temperature}
33/16	• {Screw conveyor}	41/18	• • {Heat exchange systems, e.g. heat jackets or outer envelopes}
33/18	• {Rollers}	41/20	• • • {the heat transfer medium being a gas}
33/20	• {Ribbons}	41/22	• • • {in contact with the bioreactor walls}
33/22	• {Settling tanks; Sedimentation by gravity ( <i>settling tanks per se</i> <a href="#">B01D 21/02</a> )}	41/24	• • • {inside the vessel}
<b>35/00</b>	<b>{Means for application of stress for stimulating the growth of microorganisms or the generation of fermentation or metabolic products; Means for electroporation or cell fusion}</b> (machines for extracting juice from animal or plant tissue by electroporation <a href="#">A23N 1/006</a> , processes employing electric or wave energy <a href="#">B01J 19/08</a> ; treatment of microorganisms or enzymes with electrical or wave energy <a href="#">C12N 13/00</a> ; methods for cell fusion <a href="#">C12N 15/02</a> ; introduction of foreign genetic material <a href="#">C12N 15/87</a> )	41/26	• {of pH}
35/02	• {Electrical or electromagnetic means, e.g. for electroporation or for cell fusion}	41/28	• {of redox potential}
35/04	• {Mechanical means, e.g. sonic waves, stretching forces, pressure or shear stimuli}	41/30	• {of concentration}
35/06	• {Magnetic means ( <a href="#">C12M 35/02</a> takes precedence)}	41/32	• • {of substances in solution}
35/08	• {Chemical, biochemical or biological means, e.g. plasma jet, co-culture}	41/34	• • {of gas}
<b>37/00</b>	<b>{Means for sterilizing, maintaining sterile conditions or avoiding chemical or biological contamination}</b> ( <a href="#">C12M 23/38</a> takes precedence; filtration in general and filters <i>per se</i> <a href="#">B01D 24/00-B01D 41/00</a> ; autoclaves <a href="#">B01J 3/04</a> ; treatment of microorganisms with electrical or wave energy <a href="#">C12N 13/00</a> )	41/36	• • {of biomass, e.g. colony counters or by turbidity measurements (electrooptical investigation of individual particles <a href="#">G01N 15/14</a> , flow cytometers <a href="#">G01N 15/1404</a> )}
37/02	• {Filters}	41/38	• • {of metabolites or enzymes in the cells}
37/04	• {Seals}	41/40	• {of pressure}
37/06	• {Means for testing the completeness of the sterilization (testing for sterility conditions <a href="#">C12Q 1/22</a> )}	41/42	• {of agitation speed}
<b>39/00</b>	<b>{Means for cleaning the apparatus or avoiding unwanted deposits of microorganisms}</b> (apparatus for cleaning laboratory receptacles or instruments <a href="#">B01L 13/02</a> ; cleaning in general <a href="#">B08B</a> )	41/44	• {of volume or liquid level}
		41/46	• {of cellular or enzymatic activity or functionality, e.g. cell viability}
		41/48	• {Automatic or computerized control (automatic analysis <a href="#">G01N 35/00</a> )}
		<b>43/00</b>	<b>{Combinations of bioreactors or fermenters with other apparatus}</b>
		43/02	• {Bioreactors or fermenters combined with devices for liquid fuel extraction; Biorefineries}
		43/04	• {Bioreactors or fermenters combined with combustion devices or plants, e.g. for carbon dioxide removal ( <a href="#">C12M 43/06</a> takes precedence; recovery of carbon dioxide <a href="#">C12F 3/02</a> )}
		43/06	• {Photobioreactors combined with devices or plants for gas production different from a bioreactor of fermenter}
		43/08	• {Bioreactors or fermenters combined with devices or plants for production of electricity}
		<b>45/00</b>	<b>{Means for pre-treatment of biological substances}</b>
		45/02	• {by mechanical forces; Stirring; Trituration; Comminuting (crushing, pulverizing, disintegrating in general <a href="#">B02C</a> )}

## C12M

- 45/03 . {by control of the humidity or content of liquids; Drying}
- 45/04 . {Phase separators; Separation of non fermentable material; Fractionation}
- 45/05 . {by centrifugation ([centrifuges in general B04B](#))}
- 45/06 . {by chemical means or hydrolysis}
- 45/07 . {by electrical or electromagnetic forces}
- 45/09 . {by enzymatic treatment}
- 45/20 . {Heating; Cooling ([heating or cooling apparatus for laboratory uses B01L 7/00](#))}
- 45/22 . {Means for packing or storing viable microorganisms ([casings for storing cell samples A61B 10/0096](#), [preservation of living parts of the human or animal body A01N 1/02](#))}
- 47/00 {Means for after-treatment of the produced biomass or of the fermentation or metabolic products, e.g. storage of biomass (filters in general [B01D 23/00-B01D 41/00](#))}**
- 47/02 . {Separating microorganisms from the culture medium; Concentration of biomass ([separating microorganisms from their culture media C12N 1/02](#))}
- 47/04 . {Cell isolation or sorting ([purging biological preparations of unwanted cells C12N 5/0081](#), [determining the presence or kind of microorganism C12Q 1/04](#))}
- 47/06 . {Hydrolysis; Cell lysis; Extraction of intracellular or cell wall material ([lysis of microorganisms C12N 1/06](#); [extracting or separating nucleic acids from biological samples C12N 15/1003](#))}
- 47/08 . {Homogenizing}
- 47/10 . {Separation or concentration of fermentation products ([bioreactors combined with means for distillation or extraction of liquid fuel C12M 43/02](#))}
- 47/12 . {Purification ([C12M 47/04](#) takes precedence)}
- 47/14 . {Drying}
- 47/16 . {Sterilization ([autoclaves in general B01J 3/04](#))}
- 47/18 . {Gas cleaning, e.g. scrubbers; Separation of different gases ([separating dispersed particles from gases or vapours B01D 45/00](#); [separation of gases or vapours B01D 53/00](#); [gas washing apparatus for laboratory uses B01L 5/04](#))}
- 47/20 . {Heating or cooling ([heating or cooling apparatus for laboratory uses B01L 7/00](#))}
- 99/00 {Subject matter not otherwise provided for in other groups of this subclass}**
- 99/02 . {Disc dispensing devices}