CPC Essentials I Part C CPC Scheme Definitions

Classification Quality and International Cooperation (CQIC) Division Office of International Patent Cooperation (OIPC)
United States Patent and Trademark Office (USPTO)

You have learned

The CPC scheme structure and different functions of **Titles**, **References**, and **Notes**, as well as their roles in defining the scope and content of a classification place

- The CPC hierarchical structure determines the order of groups.
- The Titles, References, and Notes of a hierarchically higher group define the scope and content of its lower groups.

The scope and content of a symbol should be interpreted according to its place in the hierarchy, and in view of **Titles**, **References**, and **Notes** of its place and its higher places.

Objectives

Topic:

- CPC Scheme Definitions
 - Definition statements
 - Relationships with other classification places
 - References
 - Special rules of classification
 - Glossary of terms
 - Synonyms and keywords
- Efficiently Navigate the CPC Scheme

At the end, you will know:

- What information related to classification and searches is included in Definitions, and where that information is located
- How to navigate in the CPC scheme to acquire relevant information efficiently

Expression and Terms in the Presentation

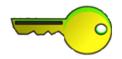
A blue *italic* font text in the presentation contains an instructor's explanation.

The term "classification place" generally refers to a symbol with a section, class, subclass, main group and a subgroup, used for classification.

The term "group(s)" refers to both main group and subgroup if not specifically identified as "main group" or "subgroup".

CPC Definitions

CPC Definitions



- Provide information for clarifying the scope of subclasses, main groups or subgroups
- Provide instructions on classification and searches in specialized technical areas
- Not provided in CPC sections, classes, indexing-only subclasses (e.g. A23V, F05B), or for the whole of section Y (e.g. Y04S, Y10S)

Always consult **Definitions** to receive full information about the scheme for classification and searches.

Section Headings	Functions —
Definition statement	What is covered
Relationships with other classification places	What are neighboring fields and defines the borders between neighboring fields
Limiting references	What is not covered
Application-oriented references References out of a residual place Informative references	List of neighboring fields o for search o for additional classification
Special rules of classification within this place	What and how to classify inventions within the indicated place
Glossary of terms	Terms used in group titles
Synonyms and keywords	Terms in classified documents 7

Definition Statement



A plain language explanation of the subject matter appropriate for classification in the specific area covered by the definition.

Example:

C07K 7/00

Peptides having 5 to 20 amino acids in a fully defined sequence;
Derivatives thereof

Scheme Title

Definition statement

This place covers:

- Oligomers of amino acids making up a sequence of between 5 and 20 residues in length containing at least one alpha peptide bond, which is also a normal peptide bond.
- Genes and nucleotide sequences coding for the above peptides.
- Crystals of the above peptides.
- Specific methods for the preparation of the above peptides.

Consult "Definition Statement" to fully understand the scope and content of classification places.

Relationship With Other Classification === Places



Clarifies the scope of a classification place as it relates to other places, for example:

- Special rules of classification between different classification places, e.g. multiple classification in two subclasses
- Use of indexing subclasses or groups
- Relationships between a residual place and other related places
- Relationships between function-oriented (general) and application-oriented places

Example:

H01L

Relationship with other classification places

Micro-structural devices or systems are classified in subclass **B81B**, and the processes and apparatus specially adapted for the manufacture or treatment thereof are classified in subclass **B81C**.

Read this section carefully to understand how this place is related to other areas. Such information is important for classification and prioritizing searches.

References in Definitions

Listed under the following subheadings according to their function or characteristics:

- Limiting references
- Application-oriented references
- References out of a residual place
- Informative references

Limiting vs Non-Limiting References



Limiting references (in the scheme and Definitions) exclude specified subject matter from the scope of a classification place, especially in groups that have overlapping subject matter. This exclusion is applied to the indicated group and its hierarchically lower groups.

- References present in (parentheses) in titles in the scheme
- **Precedence reference** in the scheme
- Limiting references in the Definitions: "This subclass does not cover"

Non-limiting references (in Definitions only) indicate the location of related subject matter.

- **Application-oriented references** present in function-oriented places, and point to application-oriented places which cover the subject matter is specially adapted.
- **References out of a residual place** present in residual places, and point to places that provide for the subject matter under consideration.
- Informative references are shown as a list of neighboring fields, indicating the location of (similar) subject matter that could be of interest for searching

Special Rules of Classification



Provide specific instructions on classification within the indicated classification place.

Example:

H04B

Special rules of classification

Transmission systems characterised by the medium used for transmission or by band of employing waves should be classified in groups H04B3/00 - H04B11/00 or in residual group H04B13/00.

Transmission systems characterised by the use of carrier modulation or sub-carrier should be classified in group **H04B14/00** and details thereof in group **H04B1/00**.

Always consult this section for information about classification and searches within the indicated place.

Glossary of Terms

Particular words or expressions receive a specific meaning in the art, which have consequences in the classification decision. The terms are explained in this section.

Example:

A61K 8/60

Glossary of terms

In this subgroup, the following terms (or expressions) are used with the meaning **indicated**:

Glycoside	acetal derivative of a sugar.
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Synonyms and Keywords

An optional section intended for establishing synonyms, keywords, abbreviations and acronyms from terms used in patent documents or in technical literature. Useful for a searcher when establishing a search strategy.

Example:

A61K 51/00

Synonyms and keywords

In patent documents the following abbreviations are often used:

PET Position Emission Tomography	
SPECT	Single Photon Emission Computed Tomography

Knowledge Check Question 1



What part(s) of the CPC scheme will help you find proper classification symbols relevant to your classification and search?

- A. **Titles** and **Definitions**
- B. **References** and **Notes**
- C. Relationship with other classification places
- D. Warnings
- E. A, B and C, or
- F. A-D

Knowledge Check Question 1 Answer



F is the correct answer.

Titles, **References**, **Notes**, and **Definitions** defines the scope of a classification place. Specifically, what is included in or excluded from the place.

Relationship with other classification places in **Definition** set boundaries between a classification place and other neighboring places, and indicate what subject matter is covered or not covered by the group in relation to other classification places.

Warnings indicate that some groups are not complete pending reclassification and what other groups should use for classification and search or where CPC deviates from IPC.

Thus, all information in **A**, **B**, **C**, and **D** will help you find proper classification symbols that are relevant to your classification and searches.

British and American English in CPC

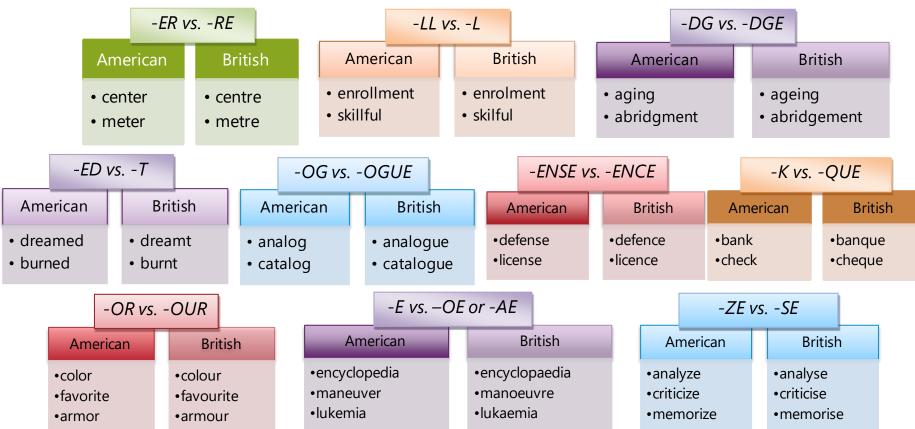


Keep in mind various language and differences in spelling when reviewing and searching the Scheme.

American	British
Sedan	Saloon
Gas	Petrol
Truck	Lorry
Bathroom	Loo
Line	Queue
Stove	Hob
Napkins	Serviettes
Hood	Bonnet

American vs. British Spelling





Things to Remember About the CPC Scheme and Definitions



- Titles, References, Notes and Definitions of a hierarchically higher classification places define the scope and content of its lower groups.
- Limiting references exclude specified subject matter from the scope of a classification place, especially in places that have overlapping subject matter. This exclusion is applied to the indicated place and its hierarchically lower subdivisions.
- Non-limiting references indicate the location of related but not overlapping subject matter.
- Relationships with other classification places indicate neighboring places, which guide you to proper places for classification and searches.
- **Special rules of classification within this place** provides specific instructions on classification and search within the indicated classification place.

Efficiently Navigate The CPC Scheme

Navigating the CPC Scheme

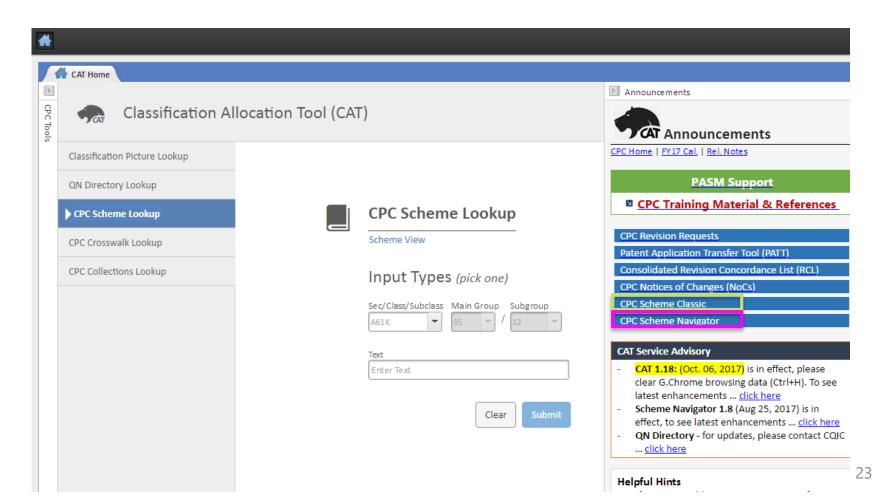


- Follow the hierarchical structure of the scheme to acquire complete information for classifications or searches.
 - Check **Titles, Notes, References** and **Definitions** specific to the direct parentchild hierarchal relationship and those in the subclass level or above to acquire complete information.
- Become familiar with CPC tools, and take advantage of the links provided by CPC tools, e.g. CAT and CPC Scheme Navigator.
- Become familiar with the Scheme and Definitions of subclasses you frequently use, including what is covered and not covered in the specific subclass and its neighboring subclasses.
- Consult CPC quality nominees (QNs) and co-workers in your technology field.

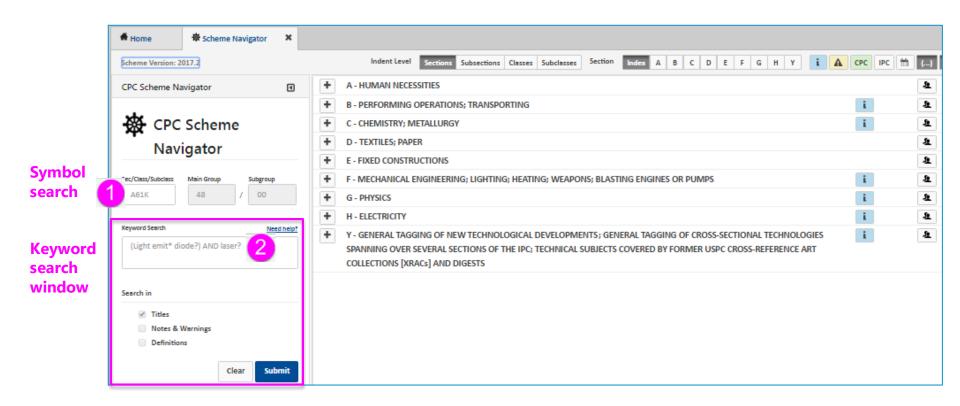
Multiple CPC Tools

- Classification Homepage
- CPC Scheme Classic (HTML version)
- EAST/WEST
- Classification Allocation Tool (CAT)
- CPC Scheme Navigator

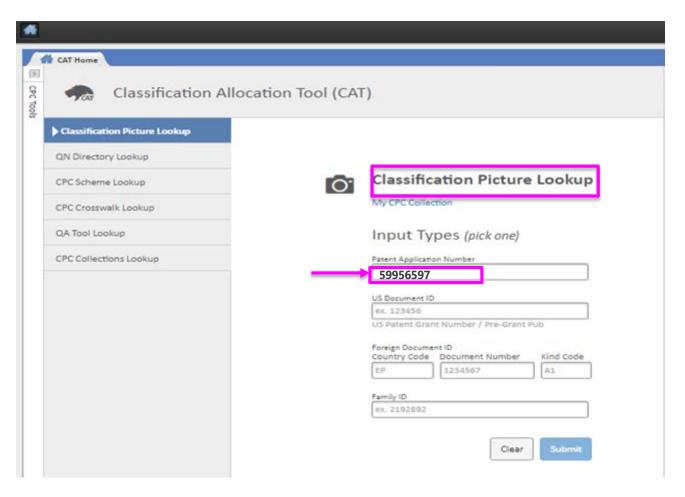
Accessing CPC Scheme Navigator (1)



Look up or Search CPC Symbols

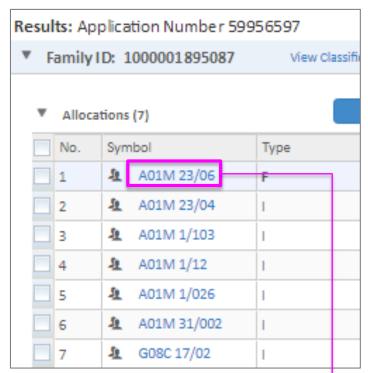


Accessing CPC Scheme Navigator (2)

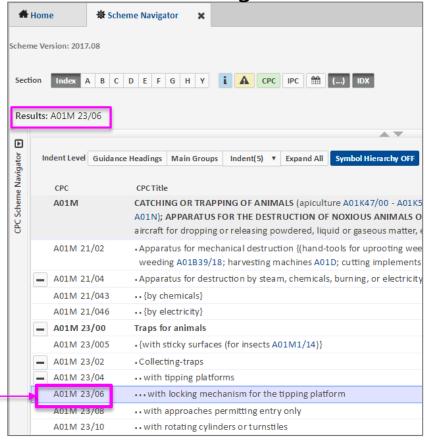


CAT links Symbols to Scheme Navigator

CAT

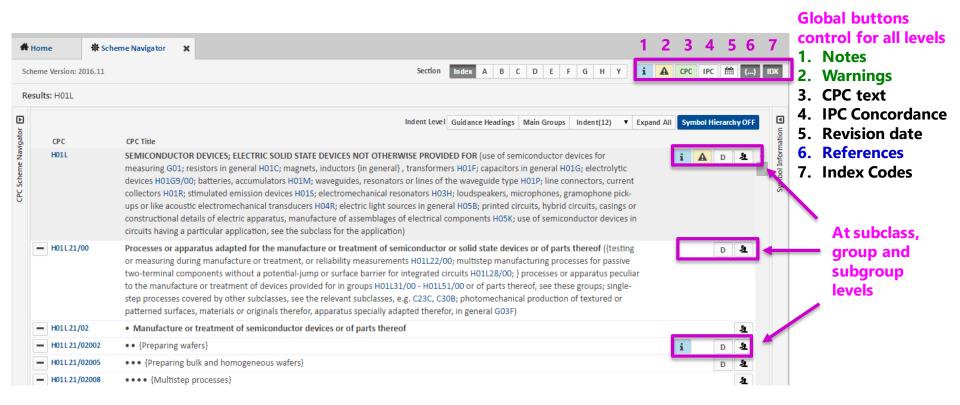


Scheme Navigator



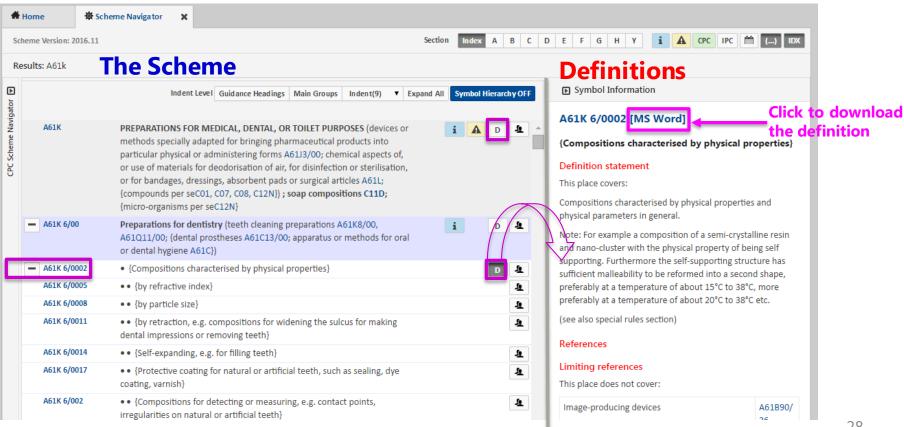
Important Icons in Scheme Navigator





Read Scheme and Definitions Side-by-Side





Symbol Hierarchy



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yııı	וטעו	inerarcity Off	

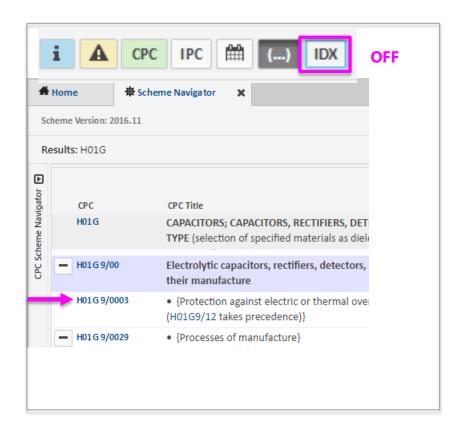
	Symbol Hierarchy Off	
CPC	CPC Title	
CPC CPC Title H01L SEMICONDUCTOR DEVICES; ELECTRIC SOLID STATE DEVICES NOT OTHER , transformers H01F; capacitors in general H01G; electrolytic devices H0: collectors H01R; stimulated emission devices H01S; electromechanical re light sources in general H05B; printed circuits, hybrid circuits, casings or devices in circuits having a particular application, see the subclass for the		
H01L 21,	Processes or apparatus adapted for the manufacture or treatment of semiconductor of measurements H01L22/00; multistep manufacturing processes for passive two-termina apparatus peculiar to the manufacture or treatment of devices provided for in groups is see the relevant subclasses, e.g. C23C, C30B; photomechanical production of textured of the subclasses.	
H01L 21	O2 • Manufacture or treatment of semiconductor devices or of parts the reof	
H01L 21/	02002 ··{Preparing wafers}	
H01L 21/	02005 {Preparing bulk and homogeneous wafers}	
H01L 21/	02008{Multistep processes}	
H01L 21/	0201{Specific process step}	
H01L 21/	02013{Grinding, lapping}	
H01L 21/	02016{Backside treatment}	
H01L 21,	02019{Che mical etching}	
H01L 21/	02021 {Edge treatment, chamfering}	
H01L 21/	02024{Mirror polishing}	
H01L 21/	02027{Setting crystal orientation}	
H01L 21/	0203{Making porous regions on the surface}	
H01L 21/	02032 {by reclaiming or re-processing}	
H01L 21/	02035{Shaping}	
H01L 21/	02041 ··{Cleaning}	
■ H01L 21/	02043 {Cleaning before device manufacture, i.e. Begin-Of-Line process}	
- H01L 21/	02046{Dry cleaning only (H01L21/02085 takes precedence)}	

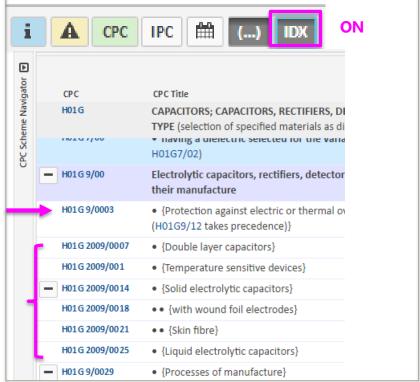
2 Symbol Hierarchy ON

igator 🔻	СРС	CPC Title
CPC Scheme Navigator	H01L	SEMICONDUCTOR DEVICES; ELECTRIC SOLID STATE DE devices H01G9/00; batteries, accumulators H01M; was gramophone pick-ups or like acoustic electromechanic of semiconductor devices in circuits having a particular
	H01L 21/00	Processes or apparatus adapted for the manufacture passive two-terminal components without a potential- these groups; single-step processes covered by other s
	H01L 21/02	Manufacture or treatment of semiconductor device
	H01L 21/02002	• • {Preparing wafers}
	H01L 21/02005	••• {Preparing bulk and homogeneous wafers}
	H01L 21/02035	•••• {Shaping}
	H01L 21/02041	• • {Cleaning}
	H01L 21/02043	••• {Cleaning before device manufacture, i.e. Begin-C
	H01L 21/02046	•••• {Dry cleaning only (H01L21/02085 takes preced

Find Indexing Codes

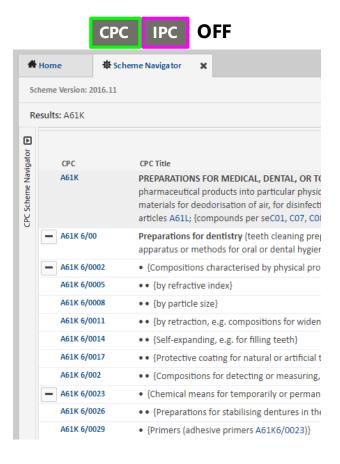


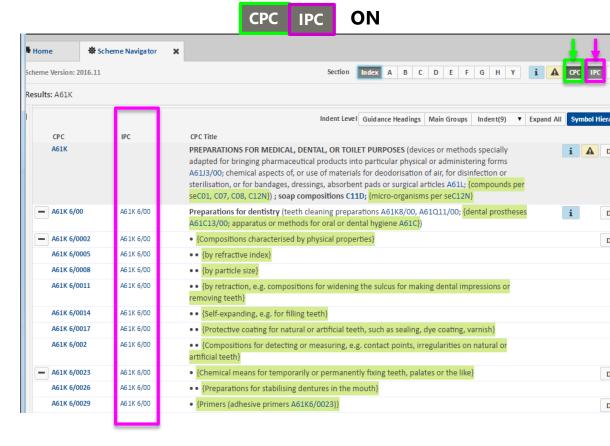




IPC Concordance and CPC Text



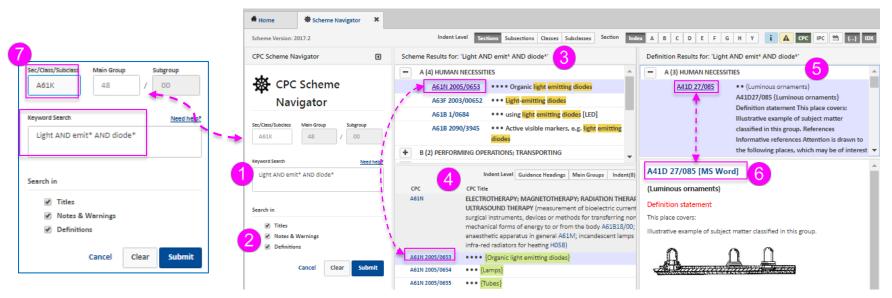




Text Search Within Scheme/Definitions



- 1. Enter search term Light AND emit* AND diode* in the Keyword Search box.
- 2. Select the area of the scheme to search.
- 3. Search results opens in the center panel. The number of results is listed next to the scheme Section.
- 4. Click on the CPC symbol to open the Scheme Navigator in the bottom center panel.
- 5. View search results in the Definitions are shown in the right hand panel. The keyword search is highlighted. Click on the CPC symbol to open the Definitions in the lower right hand panel.
- 6. Definitions are shown in the lower right hand panel.
- 7. Narrow searches to specific subclass by selecting option to Keywords Search in Sec/Class/Subclass plus Keyword Search.



Text Search Query Syntax



Quick Tips

Boolean	AND, OR, NOT, +, -	Use Capitals
Wildcards	? *	
Exact Match – Quotation	шп	
Grouping	()	
Ranges	{x TO y} [x TO y]	Word ranges
Fuzzy	~	Words with same letters

Summary

You should now be familiar with:

- How information is organized in the CPC scheme and Definitions, and where to find classification and search information.
- How to navigate the CPC scheme and Definitions to efficiently acquire information for classification and searching.

CPC Resources

- CPC General Website:
 - http://www.cooperativepatentclassification.org
- Guide to the CPC:

http://www.cooperativepatentclassification.org/publications/GuideToTheCPC.pdf

Thank you for your attention!

