



2nd CPC Annual Meeting





Office européen

Geneva, 14-15 April 2015

M. Sideris, P. Held, N. Das Neves, M. van der Horst (EPO) C. Kim, M. Koval (USPTO)

| | ••• {around a horizontal axis } () |
|-------------|--|
| F16M11/2028 | •••• {for rolling, Le, for convert |
| F16M11/2035 | <pre>**** {for rolling, i.e. for creating a landscape-portrait rotation) **** { in more than one direction }</pre> |
| F16M11/2042 | •••• { constituted of several decendent initial |





Highlights of last year's meeting





The 1st CPC Annual Meeting

- Held on 24 and 25 February 2014 at WIPO premised in Geneva
 - one day with industry users (7 participants)
 - one day with national offices (14 offices represented)
- More than **14 presentations**
- Question and answer sessions
- Numerous **feedback** collected
- Many improvement suggestions made





Main outcomes

- "interleaved" presentation of the scheme was favoured
 - became the official version of the scheme since the 2014.09 version
- List of fields where **C-sets** are used was **made public**
- Training material about C-sets and 2000-series was made available
- A C-set workshop was available at the "2015 Search Matters"
- The "**pre-release**" of CPC products was implemented as of the 2014.06 version
- The "list of valid symbols" has been made public as of the 2014.06 version





Main outcomes

- Publication of the CPC coverage of national collections
 - data is ready, will be published after this meeting
- Improvements to Espacenet, e.g. CPC scheme viewer (clarity of the dates displayed, embedded Definitions), CPCNO allocations, C-sets
- Possibility of dealing with CPCNO outdated symbols was investigated
- CPC outreach directly in Asia
 - CPC conference for industry users to be organised in June in Korea
- In 2015, two CPC annual meetings with users:
 - one in Europe on 14 April 2015, Geneva
 - one in the USA on 1 May 2015, Lombard, IL





CPC – Update on status





- USPTO/EPO agree to co-operate on a joint classification system derived from IPC-based ECLA (October 2010)
- USPTO to move from
 USPC to CPC; EPO to
 move from ECLA to CPC
- CPC planned to be bilaterally operational at EPO and USPTO by end of December 2012



USPTO and EPO Work Toward Joint Patent Classification System

"In view of the significant benefit to stakeholders of developing a transparent and harmonized approach to a global classification system for patent documents; in order to make the search process more effective; and in the belief that cooperation between their two offices will facilitate progress in undertaking classification harmonization projects under the IP5 Common Hybrid Classification initiative, the USPTO and the EPO have agreed together to work toward the formation of a partnership to explore the development of a joint classification system based on the European Classification system (ECLA) that will incorporate the best classification practices of the two offices. This system would be aligned with the World Intellectual Property Organization (WIPO) classification standards and the International Patent Classification (IPC) structure. Accordingly, they have initiated discussions on governance and operational aspects of such a partnership.

The IP5 partner offices will be continually apprised of progress at appropriate IP5 forums. Stakeholders will receive regular updates on the substance and progress of classification partnership discussions between the two offices."

David J. Kappos

October 25, 2010

Benoît Battistelli



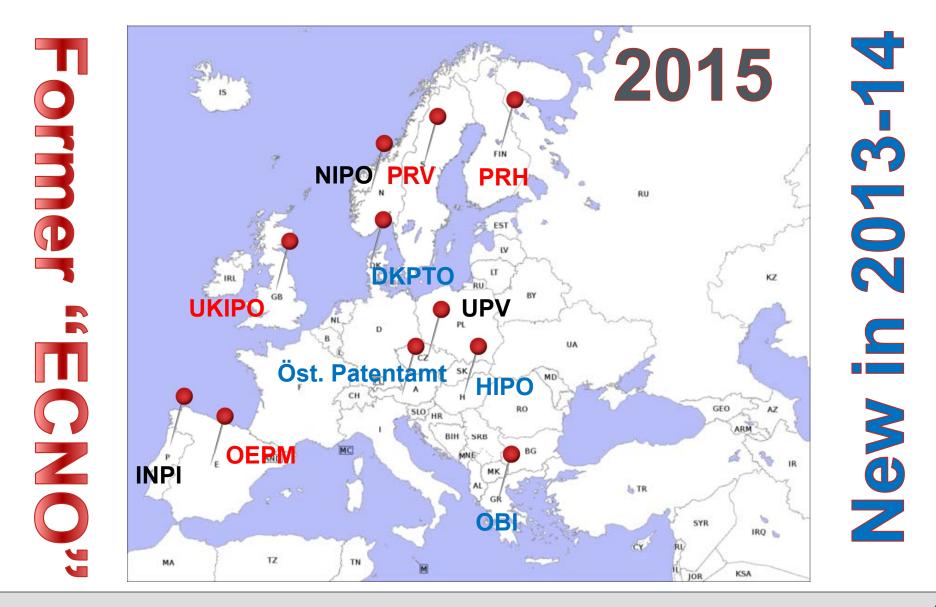


CPC: a truly international system





Who's on-board within the European Patent Organisation?

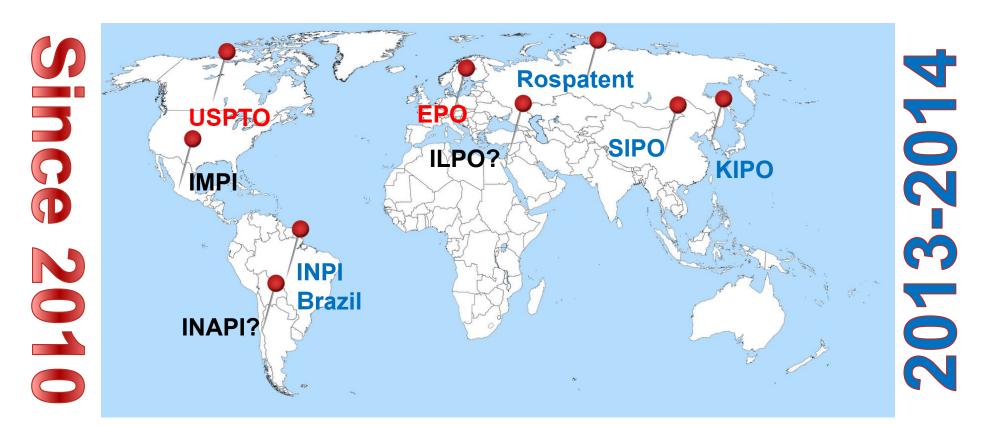






Who's on-board around the world?

Soon ?



Furthermore, CPC is **used for search** by more than **45 Patent Offices** and by more than **25 000 examiners**

F16M11/2028

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CPC Scheme





- the "interleaved" presentation is the official presentation of the CPC scheme
 - since CPC scheme version September 2014
 - the "original" presentation with further breakdown symbols at the bottom of the scheme is discontinued
 - the following remains at the bottom of the scheme:
 - IPC indexing codes
 - CPC orthogonal codes





| A61M | | on or pumping devices for medical purposes; Devices for carrying-off, for treatment of, or for carrying-over, body- ls; Drainage systems |
|------|------------|---|
| A61M | 1/0001 | . {Containers for suction drainage, e.g. rigid containers} |
| A61M | 1/0003 | {Self-contained vacuum aspirators} |
| A61M | 1/0005 | {with means for emptying the suction container, e.g. by interrupting suction} |
| A61M | 2001/0007 | Emptying the suction container without interrupting suction |
| A61M | 1/0009 | {incorporating a movable wall to create suction, e.g. syringes} |
| A61M | 1/0011 | {Drainage containers incorporating a flexible member creating suction, e.g. bags in a low-pressure chamber, bellows} |
| A61M | 1/0013 | {Two- or three-bottle systems for underwater drainage, e.g. for chest cavity drainage} |
| A61M | 2001/0015 | Mechanical means for preventing flexible containers from collapsing when vacuum is applied inside |
| A61M | 2001/0017 | Bag or liner in a rigid container, with suction applied to both |
| A61M | 1/0019 | . {Drainage containers not being adapted for subjection to vacuum, e.g. bags |
| | | \bigvee |
| A61M | 39/00 Tube | es, tube connectors, tube couplings, valves, access sites or the like, specially adapted for medical use |

A61M 2250/00 Specially adapted for animals

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CPC scheme – Y section

- General tagging of new technological developments; general tagging of cross-cutting technologies spanning over several sections of the IPC
 - **Y02**: Climate change mitigation technologies (CCMTs)
 - Y04: Smart grids
- Technical subjects covered by former USPC cross-reference art collections [XRACs] and Digests and technical subjects from selected USPC
 - Y10S
 - Y10T





CPC scheme layout

| Sections A-H | Section Y |
|---|--|
| Main trunk 647 subclasses for invention or additional information {} and green colour used to distinguish CPC text from IPC one "breakdown" indexing codes for additional information only About 160 000 symbols | tagging of emerging cross-sectional technologies Y02B, C, E, T Y04S USPC-related Y10S, T |
| "2000 series" IPC-based indexing codes (numbering 2100+) "orthogonal" indexing codes (numbering: 2200+) for additional information only About 82 000 symbols | for additional information only About 13 000 symbols |





Classification Practice at the EPO





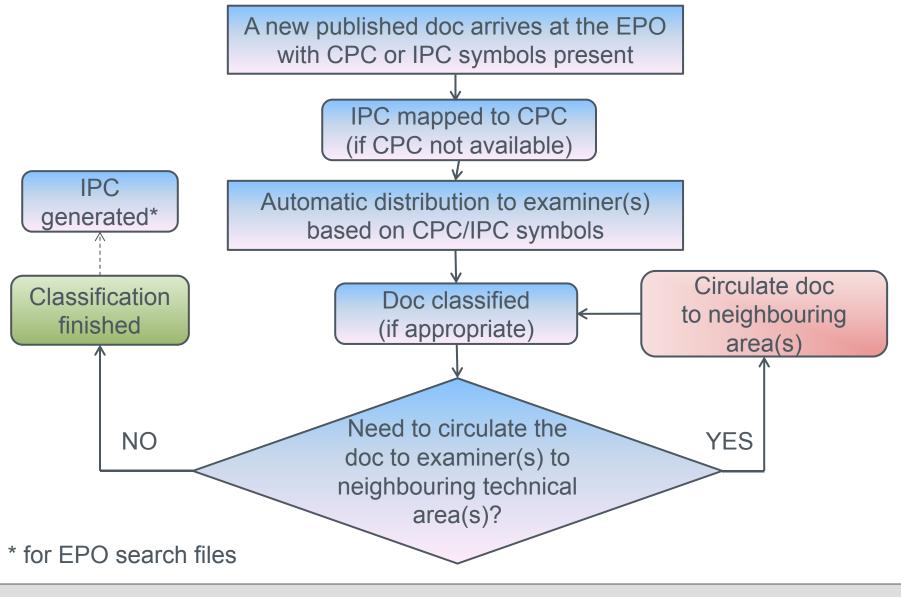
Classification Practice at the EPO

- Frontfile Classification Workflow
- Definition of "Backlog"
- CPC Coverage





Frontfile classification workflow







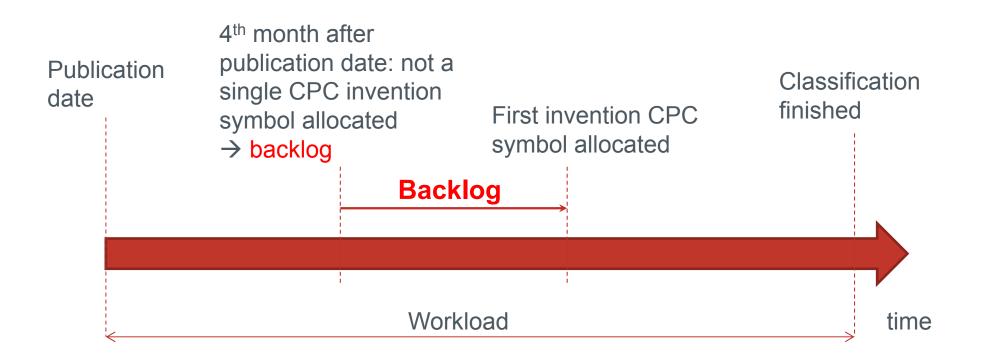
Classification Backlog Definition

 A document is considered to belong to the classification backlog when **four months** after its publication, not a single CPC symbol for "invention information" has been allocated to it





Classification backlog







Classification Practice at the USPTO





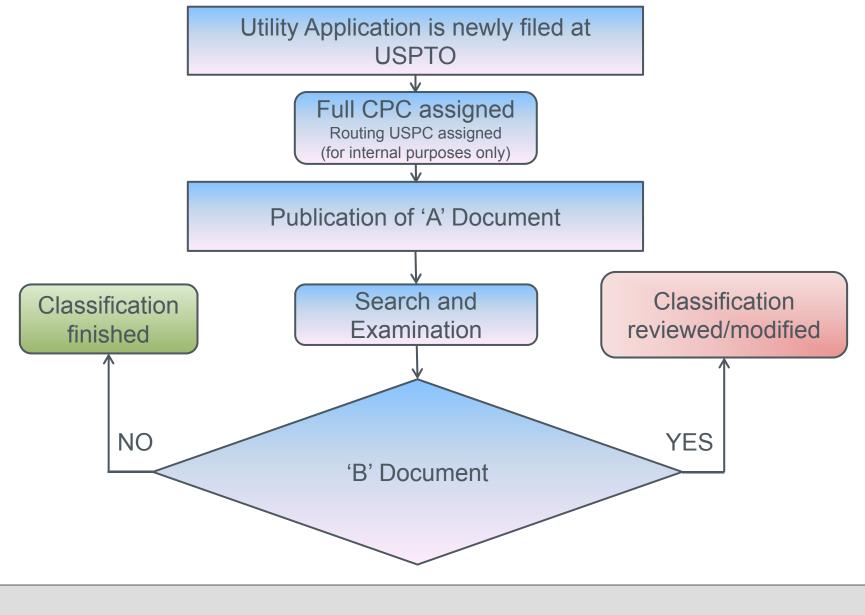
Classification Practice at the USPTO

- Full classification picture at time of publication
- No backlog
- Classifications are assigned and reviewed twice — At time of publication of 'A' document — At time of publication of 'B' document
- A and B documents have the same family classification picture in CPCDB





Classification workflow for utility applications







CPC Updates at USPTO

April 2015





- USPTO scheduled transition to CPC from USPC ended December 31, 2014
- CPC is the main classification system at USPTO
- USPTO Examiner issues regarding CPC are being addressed
- External training will be provided for CPC search





New Subclass Y10T in Y section (USPTO)

- USPC technical areas with some transition issues
- **TEMPORARY** measure until USPTO transition is perfected in the relevant area
- Primary classification in main CPC area. Secondary (ADD) classification by USPTO only on these areas
- Y10T scheme available since January 2015





Additional CPC Activities USPTO-EPO Examiner Exchanges

- USPTO Examiner visits to EPO
- September 2014 32 USPTO participants
 - April 2015 26 USPTO participants
- June 2015 38 USPTO participants
- EPO Examiner visits to USPTO
- Accomplished during EPO Technical visits to USA on an as needed basis
- Virtual EPO-USPTO examiner communications
- On going on an as needed basis
- USPTO conducts Quality Enhancement Meetings at USPTO. EPO examiners are invited to participate via video-conferencing as needed





- What does transition mean for :
- Publication ?
- Search ?
- Assignment of Work ?





• **PUBLICATIONS** USPC → CPC

- US patent publications formerly had USPC, CPC, IPC
- As of January 1, 2015, US Patent applications are <u>no longer</u> classified in USPC (except designs and plants)
- December 2014/January 15 2015
 - A documents will only have CPC (100%) (and IPC) ***
 - B documents will only have CPC (100%) (and IPC) ***

*** April 2015 (due to IT issues)





- For the A and B patent publications: INID code (52):
 USPC is removed
- Everything else is unchanged (including Field of Classification search)
- The effective date for Pre-grant Publication (A) change was 4/9/2015
- The effective date for Patent Grant (B) change was 4/7/2015







US 20150100310A1

(43) Pub. Date:

(19) United States

(12) Patent Application Publication (10) Pub. No.: US 2015/0100310 A1 CHA et al.

- (54) APPARATUS AND METHOD OF REDUCING NOISE AND AUDIO PLAYING APPARATUS WITH NON-MAGNET SPEAKER
- (71) Applicant: SAMSUNG ELECTRONICS CO., LTD., Suwon-si (KR)
- (72) Inventors: A-ran CHA, Goyang-si (KR); Gun-woo LEE, Suwon-si (KR); Sang-chul KO, Seoul (KR); Young-sang LEE, Siheung-si (KR); Yoon-jae LEE, Seoul (KR)
- (73) Assignee: SAMSUNG ELECTRONICS CO., LTD., Suwon-si (KR)
- (21) Appl. No.: 14/509,447
- (22) Filed: Oct. 8, 2014

Related U.S. Application Data

- (60) Provisional application No. 61/888,137, filed on Oct. 8, 2013.
- (30)**Foreign Application Priority Data**
 - Jul. 8, 2014 (KR) 10-2014-0085353

Publication Classification

| (51) | Int. Cl. | |
|------|--------------|----------|
| | G10L 21/0208 | (2006.01 |
| | G01R 33/28 | (2006.01 |
| | H04R 9/06 | (2006.01 |
| | G10L 21/0232 | (2006.01 |
| | H04R 1/28 | (2006.01 |
| | H04R 15/00 | (2006.01 |
| | | • |

(52) U.S. Cl. CPC G10L 21/0208 (2013.01); H04R 1/288 (2013.01); H04R 15/00 (2013.01); H04R 9/06 (2013.01); G10L 21/0232 (2013.01); G01R

33/283 (2013.01)

Apr. 9, 2015

(57) ABSTRACT

An audio apparatus is provided. The audio apparatus includes an input configured to receive an audio signal containing noise; a period estimation unit configured to estimate a period of a noise pattern in the audio signal; a noise reducer configured to subtract and remove the noise pattern from the audio signal in a frequency domain by using the estimated period of the noise pattern; a noise updater configured to update the noise pattern according to a change in amplitude of the noise; and an output configured to output the audio signal obtained by removing the noise pattern.

31







(12) United States Patent (10) Patent No Kohli (45) Date of Pa

(54) AUTOMATIC TEST-PATTERN GENERATION FOR MEMORY-SHADOW-LOGIC TESTING

- (75) Inventor: Nishu Kohli, Noida (IN)
- (73) Assignee: STMicroelectronics International N.V., Amsterdam (NL)
- (*) Notice: Subject to any disclaimer, the term of this patent is extended or adjusted under 35 U.S.C. 154(b) by 305 days.
- (21) Appl. No.: 13/175,530
- (22) Filed: Jul. 1, 2011

(65) Prior Publication Data

US 2013/0007548 A1 Jan. 3, 2013

(51) Int. Cl. G01R 31/28

(2006.01) G11C 29/00 (2006.01) G11C 7/00 (2006.01) G11C 8/00 (2006.01) G01R 31/3183 (2006.01)G11C 29/24 (2006.01)G11C 29/10 (2006.01) G11C 29/14 (2006.01)G11C 29/54 (2006.01)G11C 29/52 (2006.01) G11C 29/50 (2006.01)G11C 29/56 (2006.01)G11C 11/34 (2006.01) G11C 11/22 (2006.01)G11C 11/4063 (2006.01) U.S. Cl.



|) Patent No.: | US 9,003,255 B2 |
|-------------------|-----------------|
|) Date of Patent: | Apr. 7, 2015 |

(2013.01); G11C 11/4063 (2013.01); G01R 31/318342 (2013.01); G11C 29/56004 (2013.01)

(56) References Cited

U.S. PATENT DOCUMENTS

| 5,544,106 A * | 8/1996 | Koike |
|---------------|---------|---------------------|
| 5,555,522 A * | 9/1996 | Anami et al |
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| 5,936,892 A * | 8/1999 | Wendell 365/189.03 |
| 6,055,200 A * | 4/2000 | Choi et al |
| 6,101,618 A * | 8/2000 | McClure 714/27 |
| 6,216,239 B1* | 4/2001 | Lien 714/718 |
| 6,587,979 B1* | 7/2003 | Kraus et al 714/720 |
| 6,754,094 B2* | 6/2004 | McClure |
| 7,136,314 B2* | 11/2006 | You 365/201 |
| | (Con | tinued) |

(Continued)

Primary Examiner — John J Tabone, Jr. (74) Attorney, Agent, or Firm — Gardere Wynne Sewell LLP

(57) ABSTRACT

An embodiment of a method for automated test pattern generation (ATPG), a system for ATPG, and a memory configured for ATPG. For example, an embodiment of a memory includes a first test memory cell, a data-storage memory cell, and a test circuit configured to enable the test cell and to disable the data-storage cell during a test mode.

15 Claims, 6 Drawing Sheets

IGM11/2028 --- (for rolling, Le, tor creating a lands) (







- For Red Book XML ICE products:
 - Patent Grant Full Text
 - Patent Application Publication Full-Text

USPC will not be present these products for any utility application

The effective date for Pre-grant Publication (A) change is 6/2/2015





- The eOG changes are more extensive
 - mid May implementation date
 - change in Mid-May for the eOG of 6/2/2015
 - Listing of patents issued will now be arranged by CPC Sections A-H

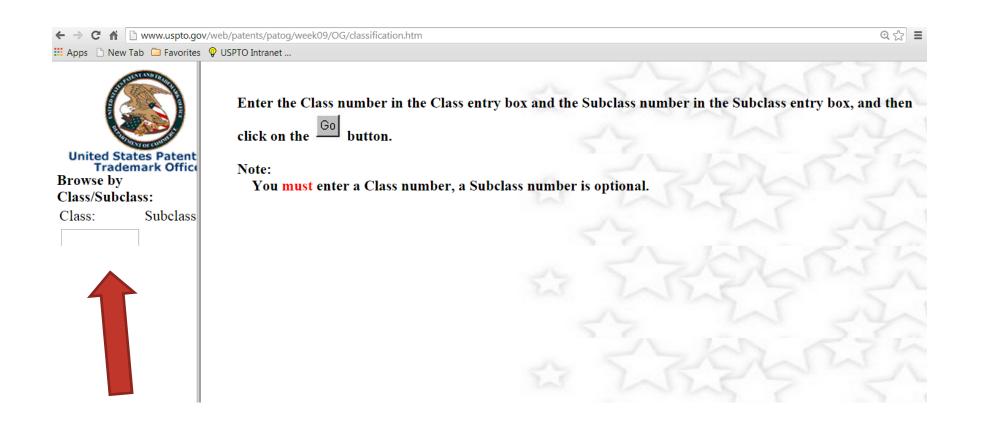




| Apps 🗋 New Tab 🗀 Favorites | VSPTO Intranet |
|--|---|
| | Welcome to the Electronic Official Gazette for Patents (eOG:P). Links are provided to the full text of the patent in the USPTO Full-Text Database from each patent bibliographic re Click on the Full Text button in the upper left corner of the patent record to jump to the full text. |
| United States Patent Trademark Office | The Electronic Official Gazette allows you to browse through the issued patents for the week. The eOG:P can browsed by classification or type of patent, for example, utility, design, and plant. Specific patents can be acc by class/subclass or patentee name. |
| Browse by Class- Subclass | Links are provided on the left to the various sections of the eOG:P. Click on the section title to use these page Browse by Class/Subclass page to access patents by a specific classification |
| Classification of | Classification of Patents page with links to patents by a range of classifications |
| Patents Browse Granted | Browse Granted Patents page to access a patent by patent number or link to patents by type Index of Patentees page to browse by names of inventors and assignees in either a cumulative alphabet index or individual indexes by type of patent. Each patentee listing contains a link to the patent. |
| Patents | Geographical Index of Inventors to link to patents by the state or country of residence of the first listed inventor |
| Index of Patentees Geographical | Notices page containing the text of important notices for the week Help |
| Index of Inventors | The left window is considered "Home." Clicking the "Home" button from any other page will return you to the main listing. |
| · <u>Notices</u> | |
| · <u>Help</u> | S TREAM |











CPC Transition Status at USPTO

- SEARCH USPC \implies CPC
- US collections will be static in USPC (frozen)
- As of January 1, 2015, internal and external users should use CPC for complete classifications search
- USPC will be available as a historical collection
 - unreliable for front file collection (2015)
 - B documents will only have CPC (IPC)





CPC Transition Status at USPTO

ASSIGNMENT OF WORK



- USPC will continue to be used in the near term
- USPC will only be used for organizational purposes





CPC Coverage

Much more than simply EP and US documents ...





U2

CPC-Classified Documentation

- US, CH, DE, FR, GB EP, WO (WIPO), AP (ARIPO), OA (OAPI)
 - i.e. min PCT with one family member in one of the EPO languages
 - JP, RU, ES are excluded because of languages
 - but we classify WO issued at these offices via English abstracts and figures
- BE, NL, LU (historical reasons)
- AT, AU, CA (first filing residents)
- Selected Non-Patent Literature (NPL) in EPO-only collection (NOT part of CPCDB)
 - pre-selected journals (field-dependent)
 - any article on examiner's request
 - identified by XP numbers

| SI | id | е | 40 |
|----|----|---|----|
| | | | |

U2 why at EPO?

The ppt is for CPC.

Edited slide

Uspto1; 13-04-2015





CPC documentation coverage

| Country | сс | Code | Systematically classified** | Non-systematically classified |
|-------------------|-----|-------------------------------------|---|----------------------------------|
| ARIPO | AP | | complete from 1 (3/7/1985) | |
| Austria | AT* | A,B | from 288 286 (15/1/1971) | from 100 022 (1925) |
| Australia | AU* | B,D | from 18/1/1973 (first filing: 1971) | from 1 019 332 (1933) |
| Belgium | BE | | from 100 486 (1892) | years 1959-1962 |
| Canada | CA* | | from 848 159 (4/8/1970) for first filling residents from 939 101 (1/1/1974) | from 114 746 (1908) |
| Switzerland | СН | A,B D | from 208 320 (31/1/1939) from 1968 | from 1 (1888) |
| Germany | DE | A,B,C U | from 1 (1877) from 6 609 798 (04/1/1973) | from 1 037 492 (1928) |
| EPO | EP | Α | complete from 1 (20/12/1978) | |
| France | FR | A,B E | from 292 (1844) from 92 701 (20/12/1968) | |
| United Kingdom | GB | A,B | from 1909 02 488 (27/1/1910) | from 1817 04 136 (1817) |
| Luxembourg | LU | | from 555 (<1920) | |
| The Netherlands | NL | | from 28 (1913) | |
| OAPI | OA | | complete from 1 (15/01/1966) | |
| | | A,B | complete from 1 (13/07/1836) | |
| | | E | complete from 8 (23/4/1839) | |
| The United States | US | l (defensive) l (trial, project) | complete from 120 (04/10/1855) | |
| | | Н | complete from 1 (03/12/1985) | |
| World(PCT) | wo | | complete from 7800001 (19/10/1978) | |

* for first filings only ie. without foreign priorities

** when the indication "complete" is not present, this means that some documents in the collection may not be classified in CPC





CPC coverage of other patent documents (directly classified and via the family concept – as of 15.03.2015)

| Country | Docs present in DocDB | Docs classified in CPC (DocDB & CPCDB) | % Docs classified in CPC |
|--------------|--------------------------|--|--------------------------------|
| Japan | 16.886.236 | 4.123.806 | 24,4% |
| | | | |
| China | 8.579.224 | 1.627.479 | 18,8% |
| | | | |
| Korea | 2.810.926 | 878.787 | 31,3% |
| | | | |
| Brazil | 527.234 | 310.234 | 58,8% |
| | | | |
| Russian Fed. | 2.070.407 | 244.158 | 11,8% |
| | | | |





CPC coverage – Classification at document level by National Offices (status 15.03.2015)

| Country code | Total number of documents (DocDB) | Number of publications with CPC or CPCNO | Number of publications with CPCNO | | |
|--|--|---|---|--|--|
| AT | 999.778 | 643.087 | 2.098 | | |
| ES | 1.017.109 | 589.522 | 27.853 | | |
| FI | 191.815 | 110.446 | 4.563 | | |
| GB | 2.351.431 | 2.094.392 | 104.312 | | |
| GR | 98.582 | 51.990 | 4.654 | | |
| SE | 518.545 | 327.845 | 136.940 | | |
| CN | 8.579.098 | 1.627.479 | 29.560 | | |
| Total: | 13.756.358 | 5.444.761 | 309,980 | | |
| Over 42 million docs classified in CPC | | | | | |





CPC Amendments





CPC Scheme Revisions

2013

- April 2013
- July 2013
- September 2013
- November 2013
- December 2013

2014

- February 2014
- June 2014
- July 2014
- September 2014
- October 2014
- November 2014

2015

January 2015 April 2015 May 2015 July 2015 September 2015





Advance information?

www.cpcinfo.org

Short summary of the ongoing CPC revision projects:
 <u>http://www.cooperativepatentclassification.org/CPCRevisions/Proj</u>ects.html

| Latest news | | | | | | | | |
|----------------------------|--|--|------------------------------|--|--|--|--|--|
| About CPC | Ongoin | a CPC | Projects | | | | | |
| Objectives | Ongoing | y CFC | FIOJECIS | | | | | |
| CPC Scheme and Definitions | The CPC | areas ci | irrently undergoing maintena | ance (MP) or revision (RP) are listed | | | | |
| CPC Revisions | in the tab | in the table below together with the corresponding project number. Once finalized, | | | | | | |
| Notice of Changes | the outcome of these projects will be summarized in a Notice of Change to be published one to two months before the corresponding changes are implemented | | | | | | | |
| Projects | in the CPC Scheme. | | | | | | | |
| Pre-release | | | | | | | | |
| CPC Concordances | Project number | Status | CPC | Title | | | | |
| CPC Training | RP0023 | Active | A01H1/00-1/08;5/00-5/12 | Flowering Plants | | | | |
| Impact | DD0005 | | | | | | | |
| Events | RP0025 | Active | B64D | Equipment for fitting in or to aircraft | | | | |
| Publications | | a ar | | | | | | |





More detailed information?

- CPC Notices of Changes (NoC) (PDF)
 - documents detailing the changes made to the scheme following a CPC Scheme revision as well as their impact
 - available one to two months prior to the entry into force of a new version of the CPC Scheme

| nome | F 10/11/1/200 |
|----------------------------|---|
| Latest news | |
| About CPC | Notice of Changes |
| Objectives | Notice of Changes |
| CPC Scheme and Definitions | CPC 2014.11: |
| CPC Revisions | |
| Notice of Changes | <u>CPC Notice of Changes 38</u> |
| Projects | CPC Notice of Changes 39 |
| Pre-release | <u>CPC Notice of Changes 40</u> |
| CPC Concordances | <u>CPC Notice of Changes 41</u> |
| CPC Training | <u>CPC Notice of Changes 42</u> |
| Impact | <u>CPC Notice of Changes 43</u> |
| Events | CPC 2014.10: |
| Publications | <u>CPC Notice of Changes 32</u> |
| | |





Follow the discussions?

- Offices classifying in the CPC have read-access to the CPC Electronic Forum (CEF)
- Federated access required (see discussion at SIPO IG 3),e.g. use of SAML identification method

| Complexity Control | operative Pat × |
|--|--|
| | Europäisches Patentant European Patent Office Office européen des brevets Username Password Remember Sign in EPO and USPTO users, please click here |
| | |





Actions list

CPC E-Forum

Cooperative Patent Classification (CPC) Electronic Forum

Projects (277)

Office: EP

| Mailing list | Project | Subject | CPC | Tech. | Rap. | Next Action | Deadline | Update |
|--------------------------|---------|--|-----------|-------|------|------------------------------------|-----------|-----------|
| | CE0001 | tbd | various | Т | EP | The Action is not set | | 10-Dec-14 |
| Request and Project List | CE0002 | Collaborative environment requirements | | Т | EP | The Action is not set | | 12-Feb-15 |
| A - Active | CM0001 | CEF Issues and Wish Lists | | | | The Action is not set | | 24-Nov-14 |
| Any Type 🗸 | DP0022 | Stereophonic Systems | H04S | E | EP | The Action is not set | | 02-Mar-15 |
| Any Rapporteur | DP0027 | Selection of the material for the legs of the junction | H01L35/12 | E | EP | The Action is not set | | 05-Mar-15 |
| Any technology | DP0030 | Synchronisation of signals | H04J3 | E | EP | Definitions - Modified Proposal | 25-Mar-15 | 09-Mar-15 |
| Project: | DP0033 | Methods or arrangements for coding, decoding, compressing or decompressing digital video signals | H04N19/00 | E | US | The Action is not set | | 10-Feb-15 |
| Connet in | DP0034 | Loudspeakers, microphones, grammophone pick- ups; deaf-aid sets | H04R | E | EP | The Action is not set | | 20-Feb-15 |
| Search in: Any Field | DP0036 | Compositions of macromolecular compounds obtained by reactions forming a carbon-to-carbon | C08L65 | С | EP | The Action is not set | | 11-Mar-15 |





Pre-release of the CPC scheme

- Pre-release of the CPC scheme on cpcinfo.org one month before the entry into force of a new version:
 - Pre-release on first Tuesday of the month preceding entry into force of new version
 - New version of the CPC scheme enters into force on the first day of the month

For example:

- entry into force 1 June 2014
- pre-release on 6 May 2014





Beyond CPC





Contribution to IP5 and IPC

| IPC sub-classes/main g | roups with more substantive modifications in IPC2015.01 |
|------------------------|---|
| A61K 35/00 | Medicinal preparations containing materials or reaction products thereof with undetermined constitution |
| A63B 49/00 - 102/00 | Stringed rackets (e.g. for tennis) and golf clubs, including new indexing scheme for clubs, bats and rackets (A63B 102/00) |
| B33, B33Y | Additive manufacturing technology – also known as "3D printing" |
| E05F 15/00 | Power-operated mechanisms for wings |
| F21V 29/00 | Protecting lighting devices from thermal damage; Cooling or heating arrangements specially adapted for lighting devices or systems |
| G02B 1/00 | Optical elements characterised by the material of which they are made |
| H01Q 5/00 | Arrangements for simultaneous operation of aerials on two or more different wavebands Indexing scheme for special adaptation of control arrangements for generators |
| H04B | Transmission |





CPC Allocation Standard

An allocation standard for CPC, based on WIPO's Standard 8





CPC allocation standard - based on WIPO ST.8

| Position(s) | Content | Values |
|-------------|--|------------------------------|
| 1 | Section | A,,H and Y |
| 2,3 | Class | 01,,99 |
| 4 | Subclass | A,,Z |
| 5 to 8 | Main Group (right aligned) | 1,,9999, blank |
| 9 | Separating character | / ("Slash") |
| 10 to 15 | Subgroup (left aligned) | 00,,999999, blank |
| 16 to 19 | For future use | 4 blanks |
| 20 to 27 | Version indicator | YYYYMMDD date format |
| 28 | Classification level | C,A,S |
| 29 | First or later position of symbol | F,L |
| 30 | Classification value (invention or additional) | I, N A |
| 31 to 38 | Action date | YYYYMMDD date format |
| 39 | Original or reclassified data | B,R, V,D |
| 40 | Source of classification data | H,M,G C (Concordance) |
| 41-42 | Generating office | AA,,ZZ (ST.3) only for CPCNO |
| 43-50 | For future use | 8 blanks |

Version 1.0





CPC Allocation standard (EPO DOCDB tags)

| WIPO/ST8 tags supported | Pos. in ST.8 | Description | Values | |
|--|--------------|-------------------|-----------------------|---|
| <classification-symbol></classification-symbol> | 1 | section | A ,,H and Y | |
| | 2,3 | class | 01,,99 | |
| | 4 | subclass | A,,Z |] |
| | 5 to 8 | main group | 1,,9999 right aligned | |
| | 9 | separator | / ("slash") |] |
| | 10 to 15 | subgroup | 00,,999999 | |
| <classification-scheme><date></date></classification-scheme> | 20 to 27 | version-indicator | CCYYMMDD | |
| <classification-level></classification-level> | 28 | core/advanced | not applicable | |
| <symbol-position></symbol-position> | 29 | first / later | F/L | |
| <classification-value></classification-value> | 30 | invention | I | |
| | | additional | A | |
| <action-date></action-date> | 31 to 38 | date format | CCYYMMDD | |
| <classification-status></classification-status> | 39 | original | в | |
| | | reclassified | R | |
| <classification-data-source></classification-data-source> | 40 | human | н | |
| | | concordance | С | |
| | | generated | G | |
| <generating-office></generating-office> | 41, 42 | country-code | only for CPCNO | |





CPC Allocation standard (USPTO XML tags)

| | Pos. in | | |
|---|----------|-----------------------------|------------------------|
| XML tag | ST.8 | Description | Permisible Values |
| <classification-cpc></classification-cpc> | | CPC symbol | |
| <section></section> | 1 | section | A,, H and Y |
| <class></class> | 2,3 | class | 01,, 99 |
| <subclass></subclass> | 4 | subclass | Α,, Ζ |
| <main-group></main-group> | 5 to 8 | main group | 1,, 9999 right aligned |
| | 9 | separator | / ("slash") |
| <subgroup></subgroup> | 10 to 15 | sub group | 00,, 999999 |
| <cpc-version-indicator></cpc-version-indicator> | 20 to 27 | version-indicator | CCYYMMDD |
| | 28 | clasification level | not used |
| <symbol-position></symbol-position> | 29 | First or Later | F, L |
| <classification-value></classification-value> | 30 | Invention or Additional | I, A |
| <action-date></action-date> | 31 to 38 | Date symbol recorded | CCYYMMDD |
| <classification-status></classification-status> | 39 | Original or Reclassified | B, R |
| <classification-data source=""></classification-data> | 40 | Source of allocation | H, C, M, G |
| <generating-office></generating-office> | 41-42 | country code | US, other ST.3 |





New XML schema for scheme and definitions





Changes to CPC-scheme schema

- New attributes in <classification-item> element
- New attribute in <media> element
- New elements
- Modified element <notes-and-warnings>
- Image files Naming convention





New attributes in <classification-item> element

Attribute "status"

The mandatory attribute "**status**" has been added to the *<classification-item>* element.

The attribute "**status**" will have 2 possible values:

published

□ frozen





New attributes in <classification-item> element

Attribute "ipc-concordant"

The CPC-to-IPC concordance has been introduced as an additional optional attribute to the *<classification-item>* element, as "**ipc-concordant**".

This attribute will only be populated for all symbols at level 7 or higher.

The value can be: CPCONLY
the IPC symbol





New attributes in <classification-item> element

Attribute "definition-exist"

The optional new attribute **"definition-exists"** has been introduced in the *<classification-item*> element. It indicates if a given symbol has a definition. □ The value is "true" or "false".

Attribute "level" and "sort-key"

The attributes "**level**" and "**sort-key**" are set from optional to mandatory attributes in the *<classification-item*> element





New attribute in <media> element

Attribute "file-name"

A new optional attribute "**file-name**" attribute has been introduced to the *<media>* element.

The value for the attribute "file-name" is:

cpc-sch-<subclass>-<seq_number.png>

(The <seq_number> is 4 digits) Example:

file-name="cpc-sch-A61K-0952.png"





New elements

Elements <sub> and <sup>

The addition of "**sup**" (superscript) and "**sub**" (subscript) to wherever text is allowed.

| A61K 51/0474 | {complexes or complex-forming compounds, i.e. wherein a radioactive metal (e.g. 111In3+) is complexed or chelated by e.g. a N_2S_2 , N_3S , NS_3 , N_4 chelating |
|--------------|--|
| | group} |

<class-ref scheme="cpc">A61K51/0474</class-ref>(3 dots): complexes or complex-forming compounds, i.e. wherein a radioactive metal (e.g. ¹¹¹In³⁺) is complexed or chelated by e.g. a N₂S₂, N₃S, NS₃, N₄, N₄ chelating group......





Modified element <notes-and-warnings>

Attribute "type"

A similar bullet/numbering indicator attribute "**type**" for <*subnote*> as in IPC has been introduced.

- Possible values are:
- Roman
- roman
- number
- □ Alpha
- alpha
- bullet





Modified element <notes-and-warnings>

Attribute "warning-type"

An optional attribute "warning-type" at the <*noteparagraph*> level has been introduced.

Possible values for the attribute are:

reclass-source

reclass-destination

□ ipc-not-used





Modified element <notes-and-warnings>

<Note> element

The <*note*> element will no longer allow a mixed content model. The <*note-paragraph*> is only allowed as a direct child of the <*note*> element.

Redundant elements

The following redundant "warning-type" values have been abolished:

| * | incomplete | * | ecla-reform |
|---|------------|---|-------------|
| | | | |

- * transferred-to * idt
- ipc-discordance
 miscellaneous





Image files – Naming convention

The image file names are renamed from

<###>.ext

to

cpc-sch-<subclass>-<seq_number>.ext

(e.g. the first image to appear in the D01B scheme would be **cpc-sch-D01B-0001.png**)





Changes to CPC definition schema

- New attributes in <media> element
- New elements
- Image file names Naming convention





New attribute in <media> element

Attribute "file-name"

A new optional attribute "**file-name**" attribute has been introduced to the *<media>* element.

The value for the attribute "file-name" is:

cpc-def-<subclass>-<seq_number.png>

(The <seq_number> is 4 digits) Example:

file-name="cpc-def-A61K-0001.png"





New elements

Elements <sub> and <sup>

The addition of "**sup**" (superscript) and "**sub**" (subscript) to wherever text is allowed.

A61H 2033/145

{with CO₂}





Image files – Naming convention

The image file names are renamed from

```
media<#>.png
to
cpc-def-<subclass>-<seq_number>.ext
```

(e.g. the first image to appear in the D01B definition will be **cpc-def-D01B-0001.png**)

The four digits before ".png" represent a sequential number that is added to ensure the uniqueness of each image file name.





EPO Web services





TOPICS

Web service for uploading classification data

OPS RESTful web services (classification)





Web service to upload CPC classification data

- Status of the web services
- Introduction
- Example of EPO internal viewer of the web services
- Example of EPO internal viewer of the web services with some error reports
- Type of data errors
- Translation service from ST36 into OX format
- Example structure optimized XML (OX)
- Example of query on transaction data





Status of the classification web services

Web services are in production since July 2014





Introduction (1/3)

- The web service provides a means for a National Office to submit collections of patent documents with CPC allocations (single symbols and C-sets).
- Current bibliographic data format is based on ST36/CPC allocation standard (ST8) and DocDB XML format.
- Current data loading processes in place do not support the update of only one symbol, only replacement of full set of symbols.
- > Web service allows the update of a single symbol





Introduction (2/3)

- To be able to support this single symbol update, the web service expects a so-called "Optimised XML" (OX) format, this will allow the possibility to modify a single allocation (reclassification).
- A separate service is provided that enables a National Office to transform their ST36 XML format to the OX format so that it can be processed by the web-service (JAVA-API).
- Every batch of submitted data (transaction) will be posted in a staging area and processed in a nightly batch process, that will upload and validate the data.
- Validation of data (valid symbol, INV, ADD etc.)





Introduction (3/3)

The web services provide a means for a national Office to query their uploaded data, for example to query the status of a submitted batch or allocations therein





Example of EPO internal viewer service for the web services

| | Use | e the form controls | to select the trans | sactions to view. | | |
|-------------------------|--|------------------------------|---|------------------------|---------|--------------------|
| Office GB 🔻 | Transa | action 43 • | Document | Select V | Reset S | Sort Clear Filters |
| This transaction 45 was | processed on 2014/04/08 an I no errors. | | | | | |
| Transaction | Document 🔶 | Symbol \$ | Action \$ | Status 🌩 | Code \$ | Message |
| | | | | | | |
| | | 404140000/044 | ADD | PROCESSED | | |
| 43 | GB2375267B | A01M2200/011 | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, | | | |
| 43 43 | GB2375267B GB2375267B | A01M2200/011 A01M2200/012 | ADD | PROCESSED | | |
| | | | | PROCESSED PROCESSED | | |





Example of EPO internal viewer of the web services with some error reports

| | | Use the form contr | | _ | | | |
|--|------------------------------|--------------------------------|---------|-----------|--------------------|---------------|--------------------------|
| Office CN | T | Transaction 74 • | | Document | t Select | • | Reset Sort Clear Filters |
| Transaction 74 w This transaction Download CSV | | (09/17 and the status is BATCH | COMPLET | ED. | | | |
| | | | | | | | |
| Transaction | Document 🔺 | Symbol | ÷ | Action \$ | Status | ¢ Code ¢ | Message |
| | Document • CN103339622A | Symbol F04D17/12 | \$ | Action 🗘 | Status ERROR | Code ÷ M72003 | Message |
| 4 | | | ÷ | Action 🗘 | | | |
| 74 | CN103339622A | F04D17/12 | ÷ | Action 💠 | ERROR | | |
| Transaction 74 74 74 74 | CN103339622A CN103339622A | F04D17/12 F04D17/16 | ÷ | Action 🗘 | ERROR PROCESSED | | |





Type of data errors

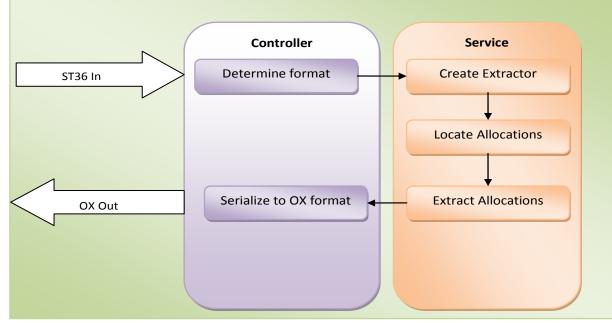
- Document not found
- Invalid document
- Invalid classification attribute
- Symbol not found
- □ Symbol already present
- Invalid request
- □ Allocated symbol to be deleted is not found





Translation service from ST36 into OX format

- Acceptance of any form of XML documents confirming to ST36 standards
- All CPC classifications and C-set allocations are extracted and transformed into the OX format
- > OX format is returned in the responses







Example structure optimized XML (OX)



The <patent-classifications> container element may have a mixed content of:

- Classification allocations (<patent-classification>)
- Combination Sets of classification allocations "grouped in sequence". (<combination-set>)





Example of query on transaction data:

| Structure: | GET /service/ <version>/office/<country-code></country-code></version> |
|------------|--|
| Example: | http://ecs-t.internal.epo.org/service/1.0.0/office/GB |

Example Response Body

| <national-office cc="GB" href="/service/1.0.0/office/GB "></national-office> |
|--|
| <transaction <="" id="101" status="PENDING" th=""></transaction> |
| <pre>href="/service/1.0.0/office/GB/transaction/101" /></pre> |
| <pre><transaction <="" id="102" pre="" status="PENDING"></transaction></pre> |
| <pre>href="/service/1.0.0/office/GB/transaction/102" /></pre> |
| |
| |





OPS RESTful web services

CPC Retrieval
CPC Media retrieval
CPC Search
Concordance mapping service





CPC Retrieval

Valid Query-string parameters in the CPC service

| Query-string | Description |
|--------------|--|
| depth | Determines how many children elements should be included in the response |
| ancestors | Includes symbols above the requested element |
| navigation | Includes navigation symbols next & previous in the response |

Request for the classification B32B7/00 with 1 child element:

http://ops.epo.org/3.1/rest-services/classification/cpc/b32b7/00?depth=1





xml version="1.0" encoding="UTF-8" standalone="yes" ?> world-patent-data xmlns:ops="http://ops.epo.org" xmlns:reg="http://www.epo.org/register" xmlns:xlink="http://www.w3.org/1999/xlink" xmlns:cpc="http://www.epo.org/cpcexport" xmlns:cpcdef="http://www.epo.org/cpcdefinition"> neta name="elapsed-time" value="0" /> :lassification-scheme> :pc> :lass-scheme scheme-type="cpc" export-date="2013-12-03"> lassification-item level="7" additional-only="false" sort-key="B32B7/00" not-allocatable="false" breakdown-code="false" daterevised="2013-11-22" link-file="classification/cpc/B32B7/00"> lassification-symbol>B32B7/00</cpc:classification-symbol> :lass-title date-revised="2013-01-01"> itle-part> ext scheme="ipc">Layered products characterised by the relation between layers, i.e. products comprising layers having different physical properties and products characterised by the interconnection of layers</cpc:text> :pc:title-part> pc:class-title> lassification-item level="8" additional-only="false" sort-key="B32B7/005" not-allocatable="false" breakdown-code="false" daterevised="2013-11-22" link-file="classification/cpc/B32B7/005"> lassification-symbol>B32B7/005</cpc:classification-symbol> lass-title date-revised="2013-01-01"> itle-part> :omment> ext scheme="cpc">in respect of orientation of features</cpc:text> explanation> ext scheme="cpc"> lass-ref scheme="cpc">B32B5/12</cpc:class-ref> ces precedence :pc:text> :pc:explanation> :comment> :pc:title-part> :class-title> neta-data>D</cpc:meta-data> cpc:classification-item> lassification-item level="8" additional-only="false" sort-key="B32B7/02" not-allocatable="false" breakdown-code="false" daterevised="2013-11-22" link-file="classification/cpc/B32B7/02"> lassification-symbol>B32B7/02</cpc:classification-symbol> lass-title date-revised="2013-01-01"> itle-part> ext scheme="ipc">in respect of physical properties, e.g. hardness</cpc:text> pc:title-part> :class-title> neta-data>D</cpc:meta-data> :pc:classification-item> lassification-item level="8" additional-only="false" sort-key="B32B7/04" not-allocatable="false" breakdown-code="false" daterevised="2013-11-22" link-file="classification/cpc/B32B7/04"> lassification-symbol>B32B7/04</cpc:classification-symbol> lass-title date-revised="2013-01-01"> itle-part> ext scheme="ipc">characterised by the connection of layers</cpc:text> :pc:title-part> cpc:class-title> neta-data>+</cpc:meta-data> cpc:classification-item> neta-data>+D</cpc:meta-data> :pc:classification-item> :class-scheme> >ps:cpc> ops:classification-scheme> >ps:world-patent-data>





CPC Media retrieval

- To retrieve CPC media referenced in the classification text in the format specified (format gif, jpeg, tif, mp3 etc....)
- The media name and type can be extracted from the CPC retrieval response.

Example request:

http://ops.epo.org/3.1/restservices/classification/cpc/media/[image-name]





Example

Use the classification retrieval service, extract the media name and type from the response (e.g. **A01N37/12** symbol):

```
<ops:world-patent-data xmlns:ops="http://ops.epo.org"
xmlns:xlink="http://www.w3.org/1999/xlink"
xmlns:cpc="http://www.epo.org/cpcexport">
    <ops:meta name="elapsed-time" value="1"/>
    <ops:meta name="elapsed-time" value="1"/>
    <ops:classification-scheme>
        <ops:classification-scheme>
        <ops:cpc>
          <cpc:classification-item level="8" additional-only="false" sort-
key="A01N37/12" not-allocatable="false" breakdown-code="false" date-
revised="2012-10-12" link-file="classification/cpc/A01N37/12">
```

```
<cpc:classification-symbol>A01N37/12</cpc:classification-symbol>
          <cpc:class-title date-revised="2012-10-12">
            <cpc:title-part>
              <cpc:text scheme="ipc">containing the group <cpc:media</pre>
id="classification/cpc/media/100.gif" type="gif"/>, wherein Cn means a
carbon skeleton not containing a ring</cpc:text>
            </cpc:title-part>
            <cpc:title-part>
              <cpc:text scheme="ipc"> Thio analogues thereof</cpc:text>
            </cpc:title-part>
          </cpc:class-title>
        </cpc:classification-item>
      </cpc:class-scheme>
    </ops:cpc>
  </ops:classification-scheme>
</ops:world-patent-data>
```

Request example:

GET http://ops.epo.org/3.1/rest-services/classification/cpc/media/100.gif

Accept: image/gif

The image in GIF format will be the response





CPC Search

In the case you do not know the name of a symbol, this service will identify possible interesting CPC symbols by searching for keywords in title and abstracts in the Espacenet database

The result will be a list of CPC symbols with a percentage value. Only the first 10 CPC symbols with the highest percentage are shown.

Example:

http://ops.epo.org/3.0/rest-services/classification/cpc/search/?q=laminate



<?xml version="1.0" encoding="UTF-8" standalone="yes" ?>

- <ops:world-patent-data xmlns:ops="http://ops.epo.org" xmlns:reg="http://www.epo.org/register" xmlns:xlink="http://www.w3.org/1999/xl xmlns:cpcdef="http://www.epo.org/cpcdefinition">
 - <ops:meta name="elapsed-time" value="728" />
- <ops:classification-search total-result-count="10" scheme-type="CPC">
 - <ops:query syntax="CQL">titleandabstract = laminate</ops:query>
- <ops:search-result>
- <ops:classification-statistics classification-symbole B32B27/00" percentage="5.485232">
- <cpc:class-title date-revised="2013-01-01">
- <cpc:title-part>
 - <cpc:text scheme="ipc">Layered products comprising</cpc:text>
 - <cpc:comment>
 - <cpc:text scheme="cpc">a layer of</cpc:text>
 - </cpc:comment>
 - <cpc:text scheme="ipc">synthetic resin</cpc:text>
 - <cpc:comment>
 - <cpc:explanation>
 - <cpc:text scheme="cpc">
 - <cpc:class-ref scheme="cpc">B32B5/02</cpc:class-ref>
 - <cpc:class-ref scheme="cpc">B32B5/16</cpc:class-ref>
 - cpc:class-ref scheme="cpc">B32B5/18</cpc:class-ref>
 - take precedence; thermoplastic elastomer
 - <cpc:class-ref scheme="cpc">B32B2274/00</cpc:class-ref>
 - </cpc:text>
 - </cpc:explanation>
 - </cpc:comment>
- </cpc:title-part>
- </cpc:class-title>
- </ops:classification-statistics>
- <ops:classification-statistics classification-symbol B32B15/00" percentage="2.2503517">
- <cpc:class-title date-revised="2013-01-01">
- + <cpc:title-part>
- </cpc:class-title>
- </ops:classification-statistics>
- <ops:classification-statistics classification-symbol B32B2307/00" percentage="1.8284107">
- <cpc:class-title date-revised="2013-01-01">
- <cpc:title-part>
- <cpc:text scheme="ipc">Properties of the layers or laminate</cpc:text>
- </cpc:title-part>
- </cpc:class-title>
- </ops:classification-statistics>
- <ops:classification-statistics classification-symbol 32B7/00" percentage="1.8284107">>>
- <cpc:class-title date-revised="2013-01-01">
- <cpc:title-part>
 - <cpc:text scheme="ipc">Layered products characterised by the relation between layers, i.e. products comprising layers having
 interconnection of layers</cpc:text>
 - clone:title=norts





Concordance mapping services

Mapping services from ECLA/CPC to ECLA/CPC/IPC

As of 1 April ECLA/CPC concordance no longer supported





USPTO Web services





TOPICS

- Web service for uploading classification data for national offices
- Web service for retrieving classification data for national offices

• Will be available in the future





Web service to upload CPC classification data

- National offices can send their data to USPTO for loading
- USPTO supports ST.96, ST.36, and other formats

Web service to download CPC classification data

 National offices can request CPC family picture of priority document





CPC in Espacenet





CPC in Espacenet

http://worldwide.espacenet.com/





Interleaved presentation

Search for a keyword or a classification sy



Search

Quick help

→ What is the Cooperative Patent Classification system?

-

• 🗆

- How do I enter classification symbols?
 What do the different buttons
- mean?
- Can I retrieve a classification using keywords?
- → <u>Can I start a new search using</u> the classifications listed?
- Where can I view the description of a particular CPC class?
- What is the meaning of the stars in front of the classifications found?
- What does the text in brackets mean?

| Selected classification | S | |
|-------------------------|---|--|
|-------------------------|---|--|

| nothing selecte | d |
|-----------------|---|
|-----------------|---|

| Find patents | |
|---------------------|--|
| Copy to search form | |
| | |

| | | | · · |
|-----------|------------------------------------|---|---------------|
| i → 🗄 🗉 🔶 | j CPC III [] 2×0 2000 | « G01W | G01W2201/00 » |
| Symbol | Classification and description | display 2000 series (int | erleaved) |
| | Instruments | | |
| G01 | MEASURING (counting G06M); TESTING | | i |
| G01W | · · · | tions <u>A01G 15/00;</u> dispersing fog <u>E01H 13/00;</u> general, see the appropriate subclass of <u>G01</u> , e.g. nation by radar <u>G01S 13/95</u>) | SD |

View section Index A B C D E F G H Y

|] | G01W 1/00 | Meteorology | D |
|---|---------------|---|---|
| | G01W 2001/003 | Clear air turbulence detection or forecasting, e.g. for aircrafts | |
| | G01W 2001/006 | Main server receiving weather information from several sub-stations | |
| | G01W 1/02 | Instruments for indicating weather conditions by measuring two or more variables, e.g. humidity, pressure, temperature, cloud cover, wind speed (G01W 1/10 takes precedence) | D |
| | G01W 1/04 | giving only separate indications of the variables measured | |
| | G01W 1/06 | giving a combined indication of weather conditions (catathermometers for measuring "cooling value" related either to weather conditions or to comfort of other human environment G01W 1/17; computers per se G06) | D |







Combination Sets

SALTS OF 3-PENTYLPHENYLACETIC ACID AND PHARMACEUTICAL USES THEREOF

| | | C-sets | <u>C07C51/412, C07C57/30;</u> <u>C07C67/303, C07C69/612;</u> | |
|---------------------|--------------------|----------------|---|------------|
| | - cooperative: | default | <u>A61K31/192;</u> <u>C07C51/412;</u> <u>C07C57/30;</u> <u>C07C67/303;</u> <u>C07C67/343</u> | |
| lassification: | - international: | A61K31 | /192; C07C51/353; C07C51/36; C07C51/41; C07C57/30 | |
| Priority number(s): | <u>US200901752</u> | 215P 2009 | 90504 | |
| Application numbe | r: PT201007719 | 941T 2010 | 00503 | |
| | - cooperativ | e: <u>A61K</u> | 31/192; C07C51/412; C07C57/30; C07C67/303; C07C67/343 → more | |
| Classification: | - internation | al: A61K | x31/192; C07C51/353; C07C51/36; C07C51/41; C07C57/30 | |
| Applicant(s): | PROMETIC B | IOSCIENC | CES INC [CA] ± | |
| Inventor(s): | | | R [CA]; ZACHARIE BOULOS [CA]; GAGNON LYNE [CA]; GROUIX BRIGITTE [CA]; PERRON VALERIE [CA] <u>+</u> | BIENVEN |
| Page bookmark | <u>PT2427417 (</u> | E) - SAL | TS OF 3-PENTYLPHENYLACETIC ACID AND PHARMACEUTICAL USES THEREC |) <u>F</u> |





CPC data from National Offices (field CPCNO)

| Classification: | - international: | C09J201 | 1/00; C09J5/00; C09J7/02; H01L21/301 | |
|-----------------|------------------|----------|--|-------|
| | - cooperative | default: | <u>C09J7/0207; H01L21/6836; H01L21/78; H01L24/27; H01L24/29; H01L24/83;</u> <u>C09J2201/36; C09J2203/326; H01L21/67132; H01L2221/68318;</u> H01L2221/68327; H01L2221/68336; H01L2221/68359; H01L2224/27436; H01L2224/2919 | |
| | | CPCNO: | <u>C09J7/0207; H01L21/6836; H01L21/78; H01L24/27; H01L24/29; H01L24/83;</u> <u>C09J2201/36; C09J2203/326; H01L21/67132; H01L2221/68318;</u> <u>H01L2221/68327; H01L2221/68336; H01L2221/68359; H01L2224/27436;</u> <u>H01L2224/2919</u> | |
| | | C-sets: | - H01L2224/2919, H01L2924/0665, H01L2924/00, - H01L2924/0665, H01L2924/00, - H01L2924/0132, H01L2924/01031, H01L2924/01033, H01L2224/73265, H01L2224/32225, H01L2224/48227, H01L2924/00012, H01L2924/15311, H01L2224/73265 %2, H01L2224/32225 %2, H01L2224/48227 %2, H01L2924/00, - H01L2224/92247, H01L2224/73265, - H01L2224/32225, H01L2224/48227, H01L2924/00, - H01L2224/3512, H01L2924/00 | →less |

Currently: AT, CN, ES, FI, GB, SE, GR (document level)





Embedded Definitions



| C07C 50/00 | Quinones (for quinone methides, see unsaturated ketones with a keto group being part of a ring) |
|-------------|--|
| C07C 51/00 | Preparation of carboxylic acids or their salts, halides or anhydrides (of acids by hydrolysis of oils, fats or waxes C11C) |
| C07C 51/02 | •from salts of carboxylic acids |
| C07C 51/04 | •from carboxylic acid halides |
| C07C 51/06 | •from carboxylic acid amides |
| C07C 51/08 | •from nitriles |
| C07C 51/083 | •from carboxylic acid anhydrides |
| | References relevant to classification in this group This subclass/group does not cover: |
| | Fatty acids by chemical modification of fats, oils or fatty acids obtained therefrom C11C3/00 |
| | |







Future Developments

U3 Is thsi slide needed ???

Reword...

Uspto1; 13-04-2015





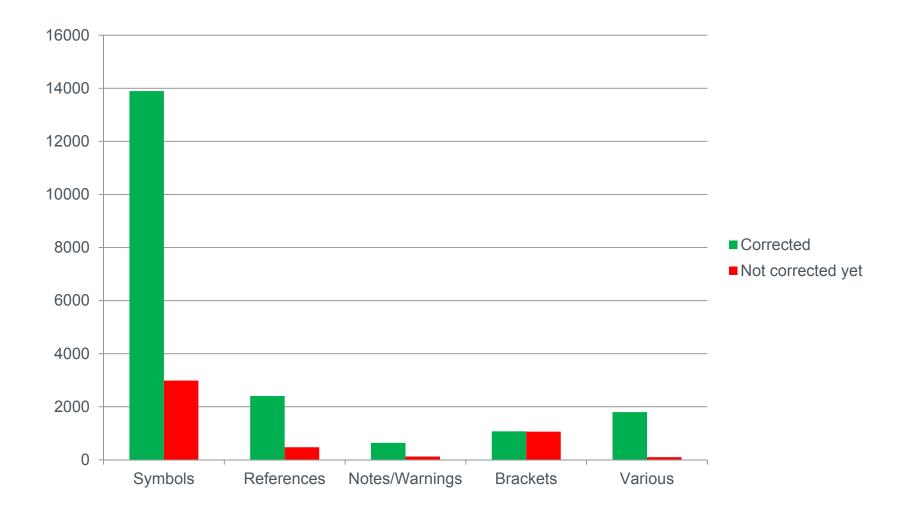
Future Developments - 1

CPC Scheme & Definitions cleanup actions





Corrections made to CPC Scheme & Definitions







Clean up of Warnings in the CPC Scheme

- ~ 2 200 Warnings present in total
- ~ 400 Warnings refer to differences between CPC and IPC
- ~ 1 800 Warnings need to be removed after finalisation of pre-CPC reclassification
- Objective:

Remove 1800 Warnings from the CPC scheme by the **end of 2017** after finalisation of the pending pre-CPC reclassification





Future Developments - 2

Expansion Climate Change Mitigation Technologies (CCMTs)





Climate change mitigation technologies

- Since 2009, EPO has been using a user friendly cross-sectional classification scheme for indexing climate change mitigation technologies (CCMT), with currently 5 subclasses
 - Y02C for Carbon Capture technologies
 - Y02E for Energy production and storage
 - Y02B for Buildings
 - Y02T for Transport
- In May 2015, the Y02W will be launched for CCMT related wastewater treatment or waste management technologies
- In the last months of 2015, the Y02P Production will be launched for energy-intensive industries (e.g. cement, metallurgy)





Future Developments - 3

ECLA decommissioning





- April 2015 will see ECLA/ICO disappear from EPO's search tools
- The ECLA database will remain as an archive, not for front-file classification
- No backward mapping from CPC to ECLA/ICO anymore





Future Developments - 4

New approach for CPCNO data





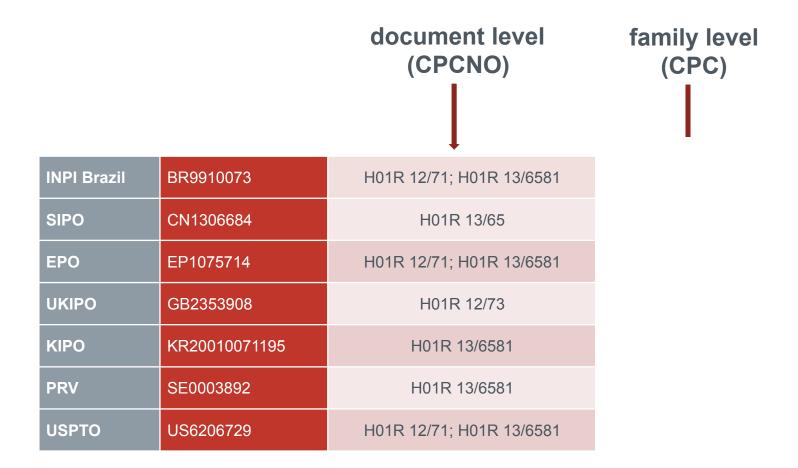
CPC data from National Offices

- Currently, CPC data from National Offices are stored at document level, in the C(PC)NO fields:
 - family members can bear different C(PC)NO allocations
 - classification at document level may be different from that at family level
 - unique documents do not get a CPC allocation at family level





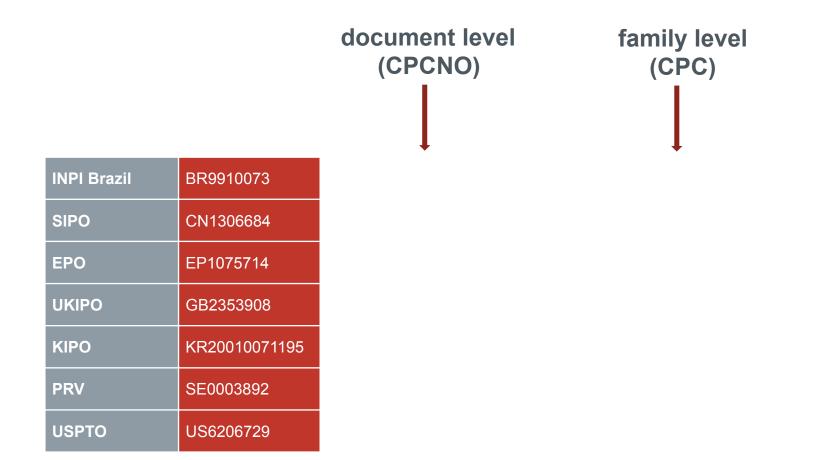
Current situation







Future situation







Future situation (cont'd)

- The data could be presented as follows:
 - H01R 12/71 (BR, ₽, US)
 - H01R 13/6581 (EP, KR, SE, US) GB
 - H01R 12/73 (GB)
 - H01R 13/65 (CN)
- EPO deletes H01R 12/71
- UKIPO deletes H01R 12/73 and gives H01R 13/6581 instead

The new picture will be as follows:

- H01R 12/71 (BR, US)
- H01R 13/6581 (EP, **GB**, KR, SE, US)
- H01R 13/65 (CN)

or

H01R 12/71 (BR,US); H01R 13/6581 (EP, GB, KR, SE, US); H01R 13/65 (CN)





Advantages

- Each office (including the EPO and the USPTO) owns only the symbols it allocates to the families it classifies
- All offices are at **equal level of treatment** in terms of presentation of data
- **Simplified business rules** (cost decrease, less complex exchange)
- Offices can establish (e.g. automated) procedures to copy classification symbols from other offices to their own, in order to benefit from classification work of other offices
- It allows an easy comparison of classification practices for taking measures to harmonise these practices





Topics for discussion

- Presentation of symbols in CPC in the electronic layer and publications
- Whether CPC FIRST and LATER is still needed.
 - If so, then what are the business rules.
- Need stakeholders' views on FIRST and LATER
- INVENTION information (I) and ADDITIONAL information (A) WILL still remain in CPC.





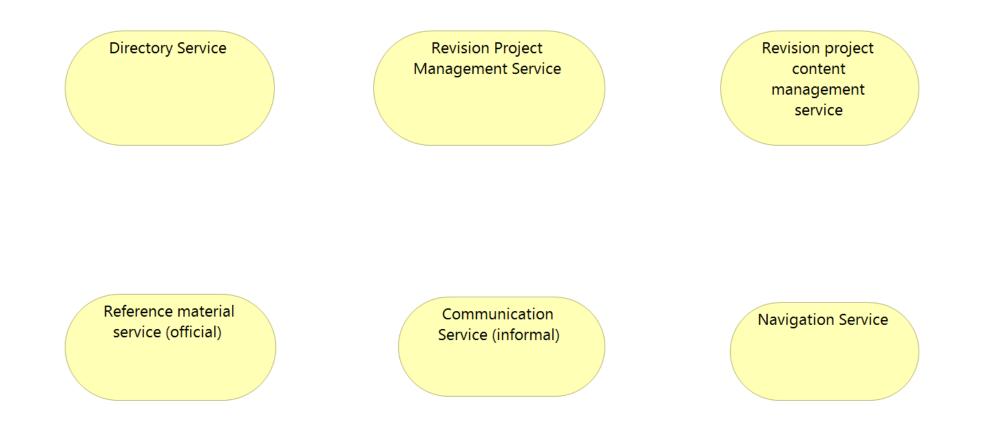
Future Developments - 5

Collaborative Environment (CE)





CE Services High level scope

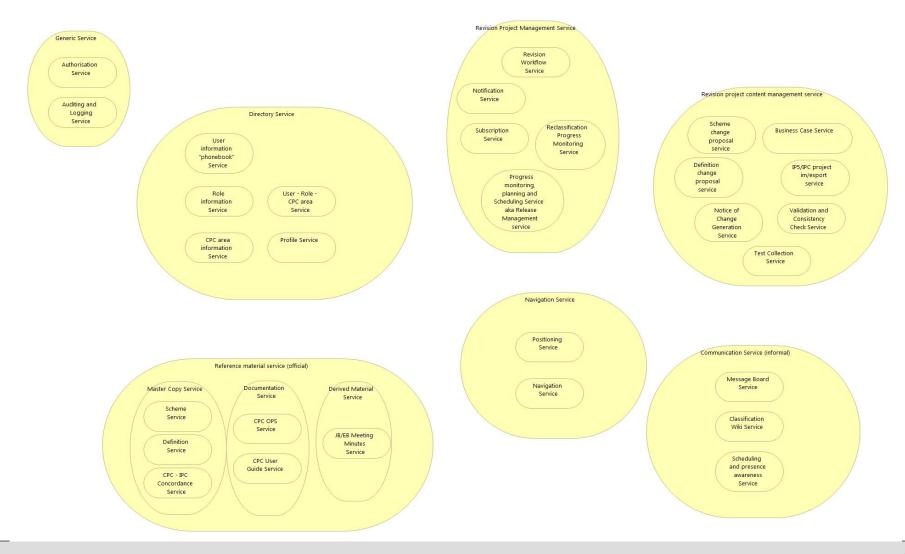






CE Services level 2

U4



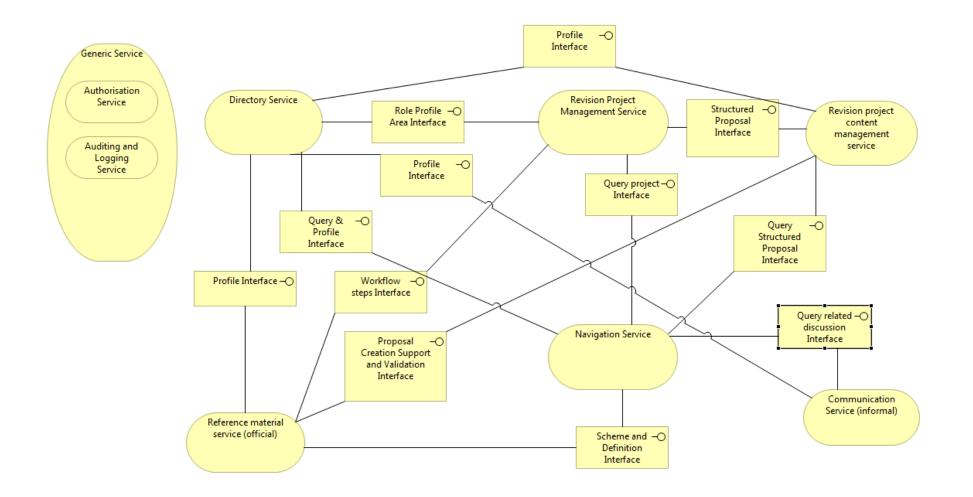
U4 Too much details - delete Uspto1; 13-04-2015





U5

CE Services and interfaces



U5 Too much details.... should delete slide. Uspto1; 13-04-2015





CPC Products and support





CPC training

- CPC scheme + Notes + Warnings + Definitions
- Field-specific training (FST) videos
 - For training patent examiners at patent offices classifying into CPC: go to Epoxy

https://epoxy.epo.org/?d=cpcvideo&p=2324,106,2296



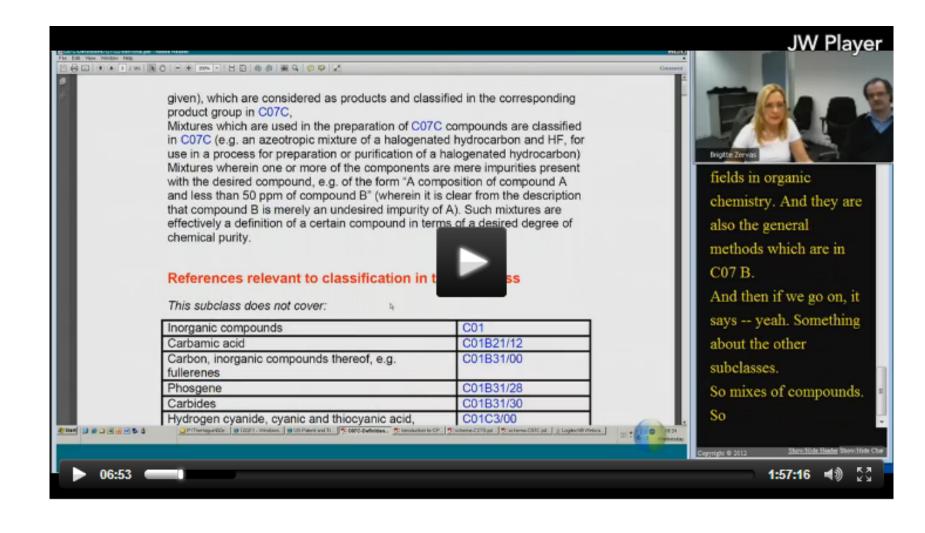


| ١ | Europäis Patentam | | uropean atent Office | Office eu des brev | | | | ep | ОХУ |
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| | <u>A01C</u> | <u>B01D</u> | <u>C01C</u> | D01C | <u>E01C</u> | F01C | <u>G01C</u> | <u>H01C</u> | Y02C |
| | <u>A01D</u> | <u>B01F</u> | <u>C01D</u> | <u>D01D</u> | <u>E01D</u> | F01D | <u>G01D</u> | <u>H01F</u> | Y02E |
| | <u>A01F</u> | <u>B01J</u> | <u>C01F</u> | <u>D01F</u> | <u>E01F</u> | <u>F01K</u> | <u>G01F</u> | <u>H01G</u> | Y02T |
| | <u>A01G</u> | <u>B01L</u> | <u>C01G</u> | <u>D01G</u> | <u>E01H</u> | <u>F01L</u> | <u>G01G</u> | <u>H01H</u> | Y04S |
| | Δ01H | R02R | CO1P | D01H | F02B | F01M | G01H | H011 | Y10S |





Screenshot of a FST session recording













Guide to the CPC

• Available on <u>www.cpcinfo.org</u> since 20 March 2015 under Publications

Publications

Guide to the CPC (Cooperative Patent Classification)

In this section, information material is available

Guide to the CPC:

• Guide to the CPC (20 March 2015)

| Document owner | EPO and USPTO |
|-----------------|--|
| Office Contacts | EPO Directorate Classification and USPTO Classification Standards and Development Division |
| Approved on | |
| Document ID | Version 1.0 |
| Revision number | 2.00 |





List of ongoing revision projects

Available on www.cpcinfo.org under CPC Revisions / Projects

Ongoing CPC Projects

The CPC areas currently undergoing maintenance (MP) or revision (RP) are listed in the table below together with the corresponding project number. Once finalized, the outcome of these projects will be summarized in a Notice of Change to be published one to two months before the corresponding changes are implemented in the CPC Scheme.

| Project number | Status | CPC | Title |
|-------------------|--------|-----------|---|
| RP0033 | active | G06F11/14 | [Admin. Transfers] Digital data processing; Error detection or correction of the data by redundancy in operation |





List of subclasses where 2000 series are used

Available on www.cpcinfo.org under Publications

Subclasses where 2000 series symbols are used:

List of subclasses where 2000 series symbols are used

| А | B < B60 | B ≥ B60 | C | D | E | F | G | Н |
|------|---------|---------|------|------|------|------|------|------|
| A01C | B01D | B60B | C01B | D01H | E01B | F01B | G01B | H01F |
| A01D | B01F | B60C | C01P | D03C | E01C | F01C | G01C | H01G |
| A01F | B01J | B60D | C02F | D03D | E01D | F01L | G01G | H01H |
| A01G | B01L | B60F | C03B | D03J | E01H | F01M | G01J | H01J |
| A01K | B02C | B60G | CO3C | D05B | E02B | F01N | G01K | H01L |
| A01M | BO3B | B60H | C04B | D05D | E02D | F01P | G01L | H01M |
| A01N | B03C | B60J | C07B | D06B | E03B | F02B | G01N | H01R |
| A22B | B03D | B60K | C07C | D06C | E03C | F02D | G01P | H01S |
| A22C | B04B | B60L | C07K | D06F | E03D | F02F | G01R | H02B |
| A23C | B04C | B60M | C08C | D06H | E03F | F02G | G01S | H02G |
| A23F | B05B | B60N | C08F | D06M | E04B | F02M | G01V | H02J |
| A23G | B05D | B60Q | C08G | D06N | E04C | F02N | G01W | H02K |
| A23N | B06B | B60R | C08J | D06P | E04D | F02P | G02B | H02M |
| A23P | B07B | B60S | C08K | D07B | E04F | F02W | G02C | H02P |
| A23V | B07C | B60T | C08L | D10B | E04G | F03G | G02F | нозв |

CPC subclasses with indexing codes (2000 series)





CPC Training material (1)

- Available on www.cpcinfo.org under CPC Training
 - Updated training modules

| Course main page | |
|----------------------|--|
| Course content | |
| Introduction | |
| Level 1 – Understand | |
| Level 2 – Classifyin | |
| Level 3 – Tools and | |
| Level 4 – CPC defini | |

Using CPC in classification

Cooperative Patent Classification European Patent Office United States Patent and Trademark Office

Click here to know more about the course

💌 Open all 🔺 🔺 Close all

Introduction

Level 1 - Understanding the CPC

Level 2 – Classifying with the CPC

Level 3 – Tools and practical matters

Level 4 – CPC definitions





CPC Training material (2)

- Available on www.cpcinfo.org under CPC Training
 - <u>Combination sets training material</u>

Training material on Combination Sets in the Polymers area

- Introduction
- <u>General</u>
- Tables for C08 and C09
- <u>C08F</u>
- <u>C08G</u>
- <u>Acrylates Olefin Vinylic Graft C08F</u>
- Composition Coating Adhesives C08L, C09D, C09J
- Various examples



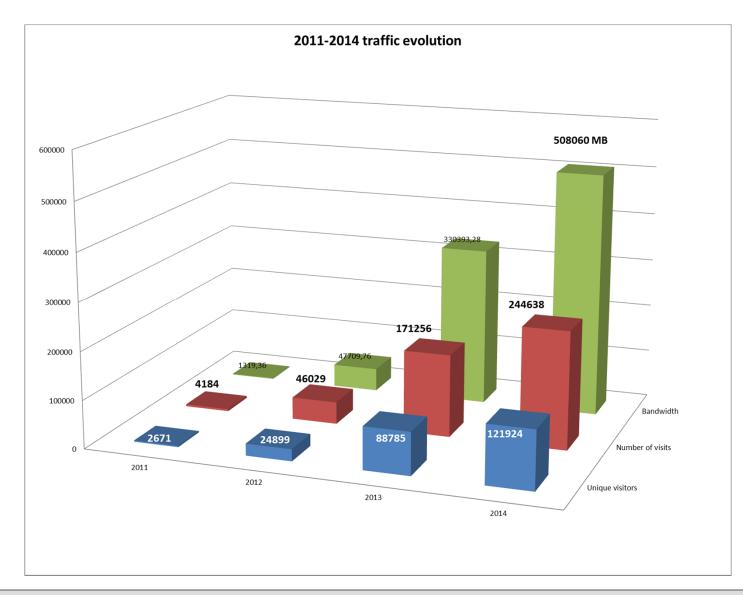


2014 Usage Statistics www.cpcinfo.org





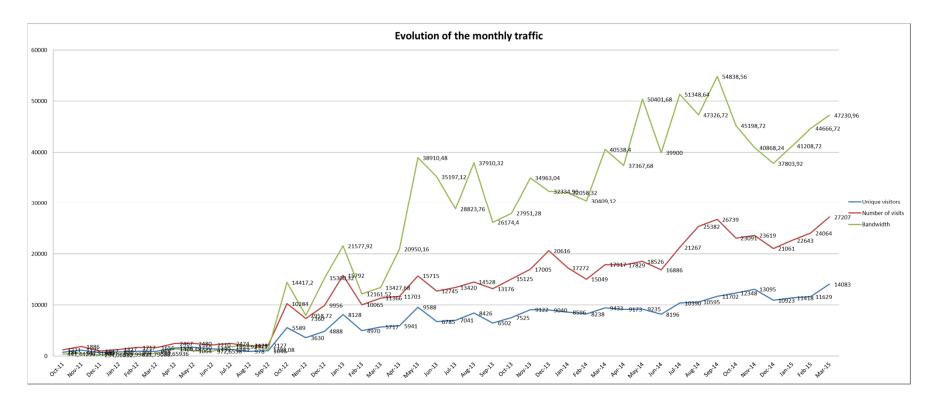
2011-2014 traffic evolution







October 2011 – March 2015 monthly evolution

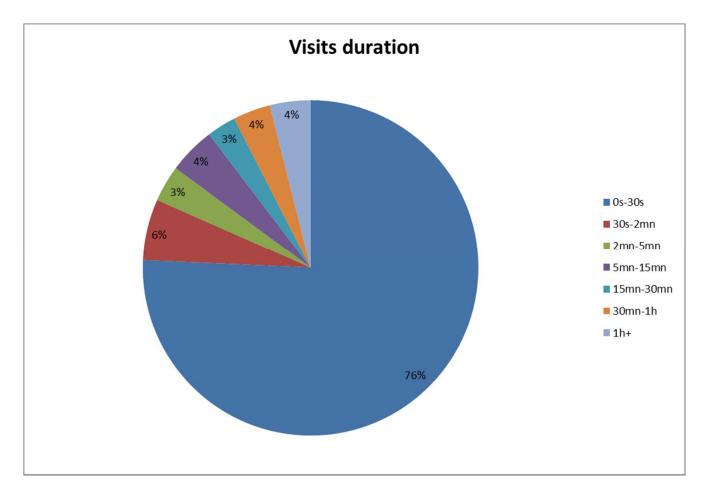


• Steady increase in number of visitors and bandwidth





Visits duration



• Average duration per visit was 329s in 2014





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| - http://ptoweb.uspto.gov/pate | nts/cpc/tools.html | 3067 3067 | | | | |
| - http://www.epo.org/searching /cpc.html | /essentials/classification | ^{on} 1934 1934 | | | | |
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Thank you for your attention!



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