

## Search matters 2014

The Common Citation Document (CCD) web application: how to benefit from the search results of patent offices



#### Pietro Rini



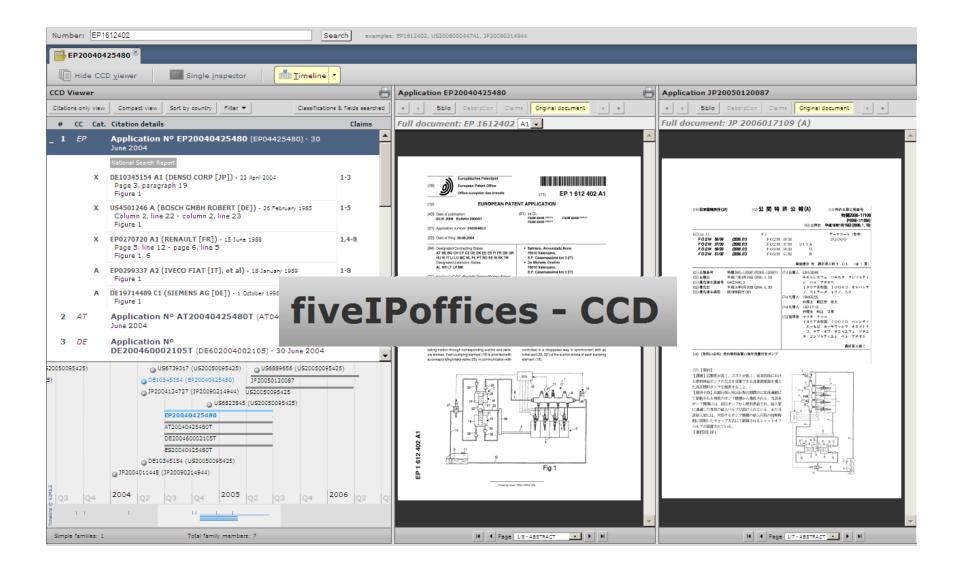
- § 2001 Aerospace Engineering -University of Pisa (IT)
- § 2002 Diploma Course in Fluid Dynamics von Karman Institute for Fluid Dynamics (BE)
- § 2006 PhD in Applied Science Free University of Brussels & von Karman Institute for Fluid Dynamics (BE)

Joined the EPO in 2006:

- § Examiner (F01D; F02C; F02K; F03D; F03B)
- § Assistant to the Vice President DG1
- § prini@epo.org

- 1. Facts & Main functionalities
- 2. Online access
- 3. The input mask
- 4. The PCT/ISA/210 model
- 5. CCD Initial Output
- 6. Features of the CCD web application:
  - § CCD Viewer
  - § The Inspector
  - § The Double Inspector
  - § The Timeline
  - § Data Coverage

#### **Common Citation Document**



#### **Facts**

- § Common Citation Document (CCD) was initially proposed by the Trilateral Industry and the Trilateral Offices (EPO, JPO, USPTO) developed a first version.
- § The IP5 Offices (EPO, KIPO, SIPO, JPO, USPTO) developed the latest version of the CCD.
- § CCD offers consolidated access to citation data from IP5 Offices combining a priority-based family with the cited prior art for each family application.
- § CCD is based on published data or on data made publicly available by Patent Offices.
- § CCD involves the commitment of the IP5 Offices to engage into the exchange of the relevant citation data.

#### **Main functionalities**

- § The CCD can be invoked with domestic application number formats for most IP5 applications after 2005 and also suggests possible matching applications.
- § CCD has a broader data coverage than the IP5 Offices, including relevant citation data made available to EPO by any IPO.
- § Identifies equivalent citations in the citation view by citation grouping.
- § Supports an ergonomic double viewing.
- § Comprehensive User Guide and Online Help available.

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#### Online access

## § CCD is available from of the IP5's home page (www.fiveipoffices.org)



Home

Objectives

Projects

#### Documentation

#### Common Citation Document

IP5 Examiners' Workshop 2010

IP5 Examiners' Workshop 2012

Catalogue of Differing Practices
Meetings with IP5 Industry

Nieetings with IP5 industry

Common Application Format Definition V2.0

About us

Statistics



#### **Common Citation Document**

The Common Citation Document (CCD) application aims to provide single point access to up-to-date citation data relating to the patent applications of the IP5 Offices. It consolidates the prior art cited by all participating offices for the family members of a patent application, thus enabling the search results for the same invention produced by several offices to be visualised on a single page.

#### Access Common Citation Document

The creation of the CCD application is part of an ongoing process of technical harmonisation at the international level aimed at establishing an appropriate infrastructure to facilitate greater integration of the global patent system.

Search Enter search term Go

Sitemap

## § or directly from the URL <a href="http://ccd.fiveipoffices.org">http://ccd.fiveipoffices.org</a>

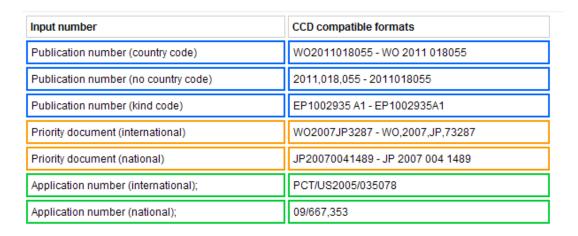
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## The input mask

§ The input mask accepts domestic application number formats for most Trilateral applications after 2005, e.g. EP09151293



§ A list of compatible input numbers is given below



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#### The PCT/ISA/210 model

INTERNATIONAL SEARCH REPORT International application No. PCT/US00/02906 CLASSIFICATION OF SUBJECT MATTER IPC(7) :G10L 15/18 US CL :704/257 FIELDS SEARCHED U.S.: 704/257, 256, 255, 251, 270, 275 Documentation searched other than minimum documentation to the extent that such documentation the extent that such documentation to the extent that such documentation the extent that such documentat Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) WEST, USPT, JPAB, EPAB, DWPI, TDBD C. DOCUMENTS CONSIDERED TO BE RELEVANT Category' Citation of document, with indication, where appropriate, of the relevant passages Relevant to claim No. Y, P US 5,983,221 A (CHRISTY) 09 November 1999, see Fig. 2. 1-22 HEMPHILL, CHARLES T. et al. Surfing the Web by Voice. ACM Multimedia 95. Pages 1-11. Y, P US 5,960,399 A (BARCLAY et al.) 28 September 1999, see 1-22 US 5,732,216 A (LOGAN et al.) 24 March 1998, see Fig. 1. 1-22 Further documents are listed in the continuation of Box C. Special categories of sited documents: leter document published after the international filling data or priority data and not in conflict with the application but shed to understand the principle or theory underlying the invention document defining the general state of the art which is not on to be of particular reference surfer document published on or after the international filing date ent of particular relevance; the claimed invention cannot be document which may throw doubts on priority claim(s) or which is cited to establish the publication data of another citation or other special reason (as specified) document referring to an oral disclosure, use, exhibition or other document member of the same patent family Date of the actual completion of the international search Date of mailing of the international search report 11 JUL 2000 20 MAY 2000 Name and mailing address of the ISA/US Commissioner of Patents and Trademarks Box PCT Washington, D.C. 20231 Authorized officer RICHEMOND DORVIL ngton, D.C. 20231 Facsimile No. (703) 305-3230 Telephone No. (703) 305-3900 Form PCT/ISA/210 (second sheet) (July 1998) \*

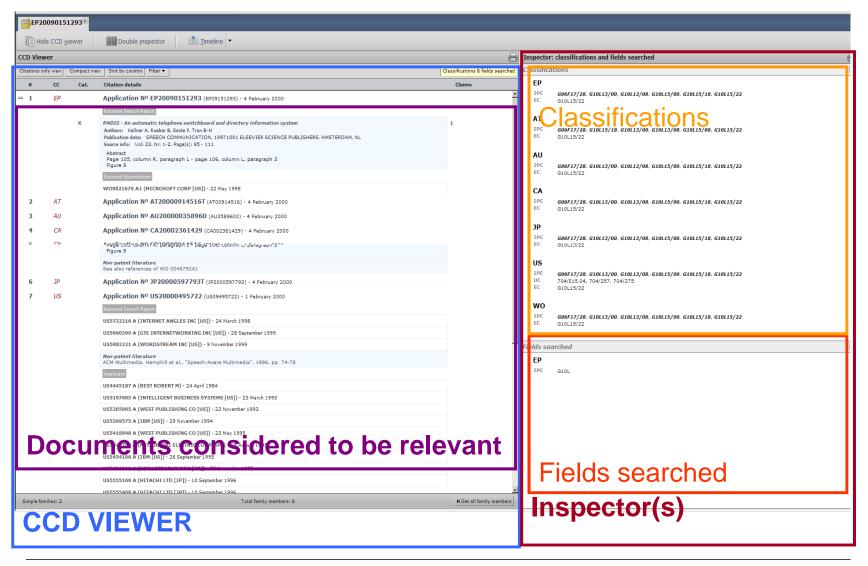
Classification of S-M

Fields searched

Documents considered to be relevant

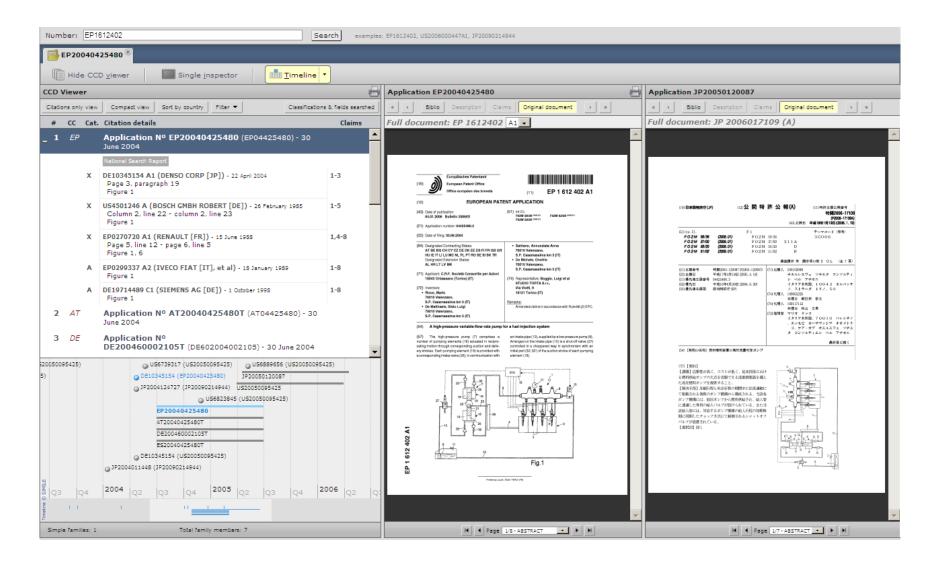
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## **CCD** Initial Output



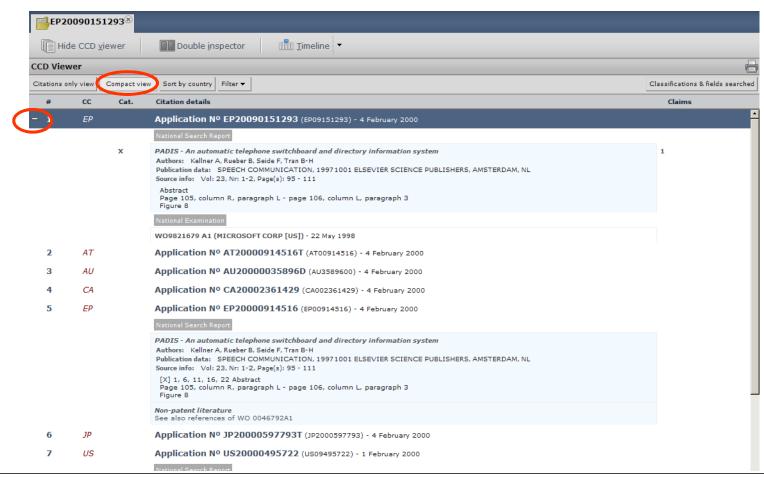
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## How to we get there



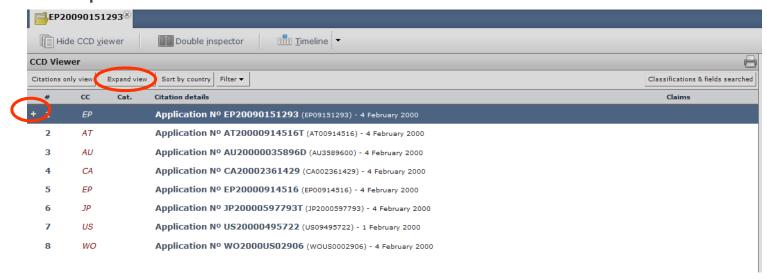
## **CCD Viewer: Expanded View**

§ The citations are also grouped by the phase at which they were retrieved, here "National Search Report", and "National Examination", but also e.g. "Applicant"



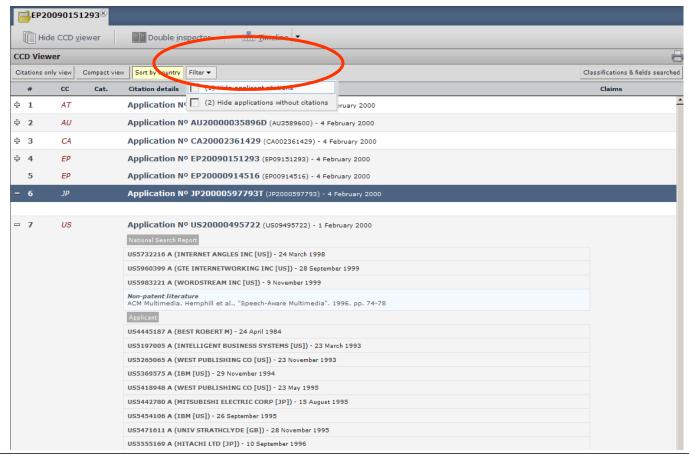
## **CCD Viewer: Compact View**

§ All the citations are hidden; click "expand view" or the "+" to switch to compact view



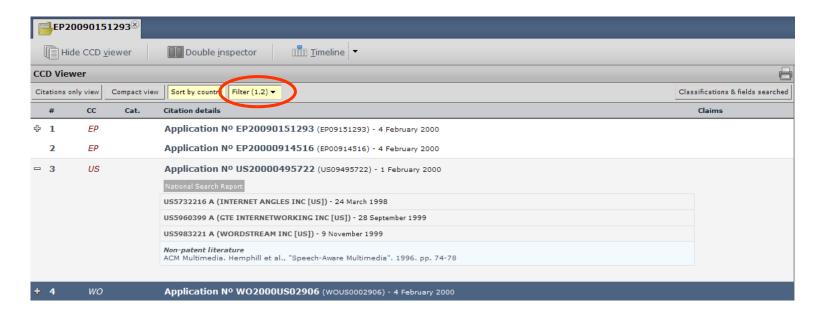
# CCD Viewer: Filtering (1/2)

§ It is possible to filter our applications without citations (here the JP), and applicant's citation (here from the US application) using the filters



## CCD Viewer: Filtering (2/2)

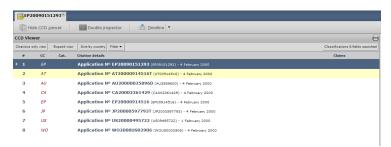
§ The JP application, and the applicant's citations of the US application are hidden



## **CCD Viewer: Family members**

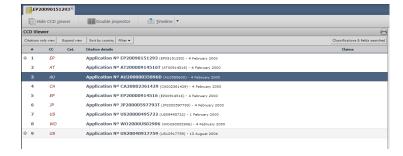
§ By default CCD will retrieve the simple family. It is however possible to retrieve extended family and to view all simple families and their members; this requires clicking the Dutton at the bottom of the CCD Viewer.

In this example the simple family has 8 members



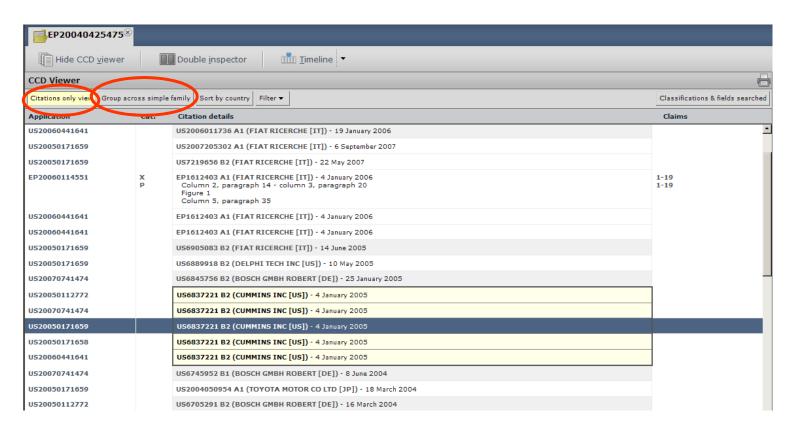
getting all the family members adds a family, with a single

member



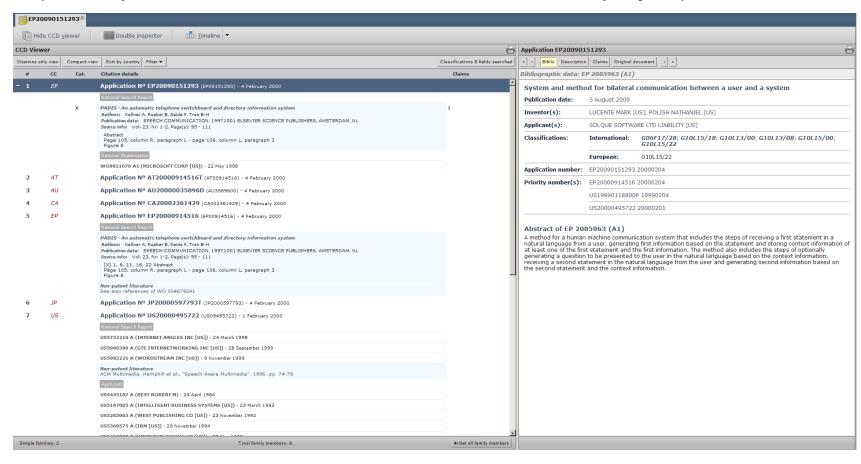
## **CCD Viewer: Citations Only**

§ To view the citations as list, rather than grouped by applications, extended family



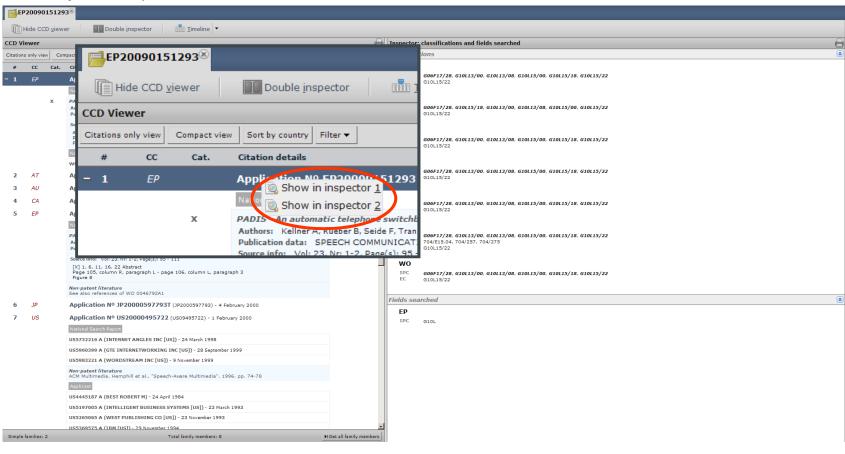
## The Inspector (1/3)

§ Selecting e.g. the EP application, sends it to the first inspector (and replaces the class/fields viewer if it was displayed)



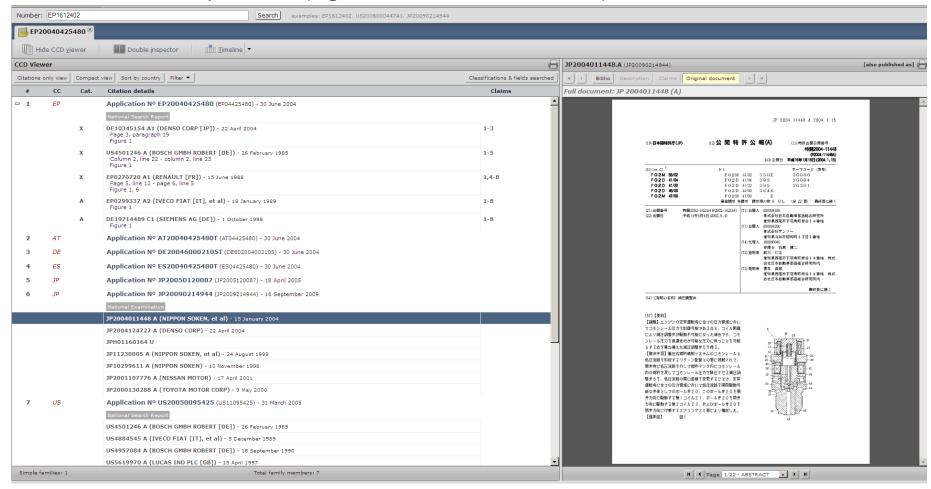
## The Inspector (2/3)

§ It is possible to visualise any application, or its citations in one of the two inspectors (right click over reference, e.g. the EP, select inspector)



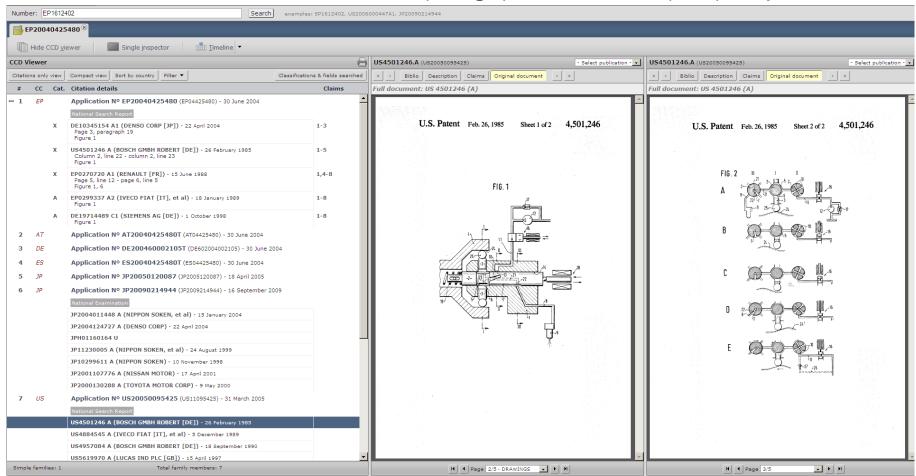
## The Inspector (3/3)

§ It is possible to visualise any application, or its citations in one of the two inspectors (right click over reference)



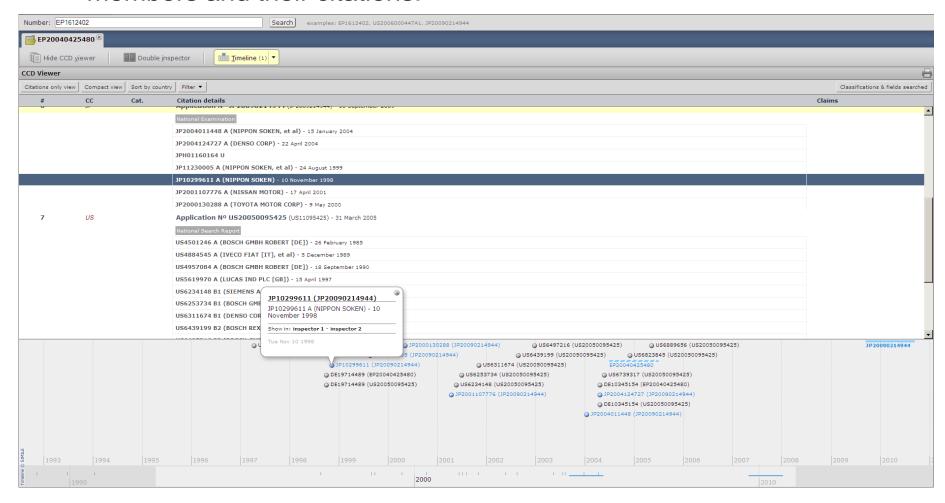
## The Double Inspector

§ As an example, the first patent literature citation of a US family member sent to the both first (image) and second (text) inspector



#### The Timeline

§ The timeline shows a 2D relative representation of family members and their citations.



# **Data Coverage (1/2)**

## § Current CCD Data coverage overview

Overview sitetion data in EBOIs sitetion data hass (DEEI)										Citation Origin									
Overview citation data in EPO's citation data base (REFI)									SEA	ТРО	EXA	OPP	PRS	FOP	APL	Cat			
Status : 08 January 2014										_									
cc	Country	First publication number	First publication date	Number of citing publications	Number of cited patents	Number of cited non-patents	Number of cited applications	Applicant	Bearain	3rd Part; Observation	Esamination	Opposition	PR. SR Reiult	Ried by Opponent	le edchr	Relevance Ind.			
AP	ARIPO	1	03-07-1985	2 665	6 039	757		N	Y	N	N	N	N	N	N	N			
AT	AUSTRIA	373757	27-02-1984	41 628	137 996	3828		N	Y	N	N	N	N	N	N	N			
AU	AUSTRALIA	411295	18-03-1971	298 604	752 175	54 52 4		N	Y	N	N	N	N	N	N	N			
BE	BELGIUM	1000039	15-12-1987	13 364	56 916	6339	11	Υ	Y	N	N	N	N	N	N	Υ			
BG	BULGARIA	693	29-10-2004	1 607	4 443	3		N	Υ	N	N	N	N	N	N	N			
СН	SV/ITZERLAND	370301	30-06-1963	5 7 43	26 342	1514	- 1	Υ	Y	N	N	N	N	N	N	Υ			
CY	CYPRUS	2418	12-11-2004	15	63	3		Υ	Y	N	N	N	N	N	N	Υ			
cz	CZECH	295900	16-11-2005	7 196	27 857	2 179		N	Υ	N	N	N	N	N	N	N			
DE	GERMANY	738624	18-09-1943	1 578 972	5 845 898	649054	142	Υ	Y	N	N	N	N	N	N	N			
DK	DENMARK	80 50 1	06-02-1956	3 078	9 378	17		Υ	Y	N	N	N	N	N	N	N			
EΑ	EURA SIAN	1	31-03-1997	11 060	47 304	8731		N	Υ	N	N	N	N	N	N	N			
EP	EUROPEAN PATENT OFFICE	1	20-12-1978	1 834 570	8 035 863	2393605	52 226	Υ	Y	Υ	Υ	Υ	N	N	N	Υ			
E S	SPAIN	20 26 82 8	01-05-1992	44 387	184 211	22916		N	Y	N	N	N	N	N	N	Υ			
FI	FINLAND	82743	31-12-1990	8	43	12		N	Y	N	N	N	N	N	N	N			
FR	FRANCE	2000037	29-08-1969	616 996	2 298 019	280864	825	Υ	Υ	N	N	N	N	N	N	Υ			
GB	GREAT BRITAIN	20 00 00 1	04-01-1979	506 175	2 023 661	90480		N	Y	N	N	N	N	N	N	N			
GR	GREECE	88100007	16-12-1988	2 830	10 411	3 3 8 2	5	Υ	Y	N	N	N	N	N	N	Υ			
IT	ITALY	FG20080007	01-10-2008	36 507	154 724	10601		N	Y	N	N	N	N	N	N	Υ			
JP	JAPAN	40031998	09-11-1965	4 061 392	13 111 398	173720		N	Y	N	Υ	Υ	N	Υ	Υ	N			
KR	KOREA	100543323	07-01-2006	443 328	1 355 485	2 5 2 6		N	Y	N	Υ	N	N	N	N	N			
LU	LUXE MBO URG	90 189	16-12-1998	6.59	2 863	372		Υ	Y	N	N	N	N	N	N	Υ			
MY	MA LAY SIA	115077	31-03-2003	763	2 739	11		N	Υ	N	N	N	N	N	N	N			
NL	NETHERLANDS	58971	15-02-1947	27 244	121 099	12 184	52	Υ	Υ	N	N	N	N	N	N	Υ			
NO	NORVIAY	20082451	01-12-2009	57	204	48		N	Υ	N	N	N	N	N	N	Υ			
RU	RUSSIA	1840851	20-01-2013	14 549	69 229	6 4 5 6		N	Υ	N	N	N	N	N	N	N			
\$G	SING A PORE	49613	20-03-2001	11 701	41 298	788		N	Υ	N	N	N	N	N	N	N			
TR	TURKEY	22 29 5	07-01-1987	3 242	12 721	2766		Υ	Υ	N	N	N	N	N	N	Υ			
US	UNITED STATES OF AMERICA	2413742	07-01-1947	6 956 658	83 722 117	16401082		Υ	Υ	N	N	N	Υ	N	N	N			
VVD	VMPO	7800001	19-10-1978	2 314 496	13 254 040	3195979	72 088	Υ	Υ	N	N	N	N	N	N	Υ			
			Total	18 839 494	131 314 536	23324741	125 350												

# Data Coverage (2/2)

§ Overview citation data (EPO, JPO, USPTO, KIPO and WIPO)

Overview citation data in EPO's citation data base (REFI)										Citation Origin								
Status : 08 January 2014									SEA	TPO	EXA	OPP	PRS	FOP	APL	Cat		
СС	Country	First publication number	First publication date	Number of citing publications	Number of cited patents	Number of cited non-patents	Number of cited applications	Applicant	Search	and Party Observation	Examination	Opposition	PR. SR Result	Filed by Opponent	Appeal	Relevance ind.		
EP	EUROPEAN PATENT OFFICE	1	20-12-1978	1 834 570	8 035 863	2 393 605	52 226	Υ	Υ	Υ	Υ	Υ	N	N	N	Υ		
JP	JAPAN	40031998	09-11-1965	4 061 392	13 111 398	173 720		N	Υ	N	Υ	Υ	N	Υ	Υ	N		
KR	KOREA	100543323	07-01-2006	443 3 28	1 355 485	2 526		N	Υ	N	Υ	N	N	N	N	N		
US	UNITED STATES OF A MERICA	2413742	07-01-1947	6 956 658	83 722 117	16 401 082		Υ	Υ	N	N	N	Υ	N	N	N		
WO	WIPO	7800001	19-10-1978	2 314 496	13 254 040	3 195 979	72 088	Υ	Υ	N	N	N	N	N	N	Υ		

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