Global Dossier Active Component - First Step "Proof of Concept"

AIPLA

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USPTO Approach
to the Active Component I

- The Active Component of Global Dossier is viewed as **"real-time transfer of documents"** from the dossier of one IP5 Office to the dossier of another IP5 Office, using Global Dossier.

- It could be implemented by **extending the functionality of the Passive (OPD) Component** to allow transfer of selected documents from "DocList" to one or more related cases in "FamilyList."

USPTO Approach
to the Active Component II

- Focus on **ministerial and administrative processes**, to reduce costs and improve quality.

- Implement a **"technical proof-of-concept"** as a building block for further development.

- Identify **challenges** such as:
    - Security
    - Value of machine translations
    - Business impact
    - Exception processing
    - Actual cost-benefit
    - Navigation and operations

AIPLA Approach to the "Proof of Concept"

- AIPLA is considering the approach suggested by USPTO in relation to the Active Component.

- In particular, AIPLA has been discussing the possibility of **an initial first step** "proof of concept" addressing the filing of a **request for change of applicant's address** in some or all of the IP5 Offices.

  - The change of applicant's address should be accomplished with minimum administrative burden and maximum efficiency and user-friendliness.

User Requirements

- Accomplish all submissions from a **single web page**
- Bibliographical information should be **auto-populated**
    - **No re-entry** of application or applicant data
- **Forms** should be the same
- **Don't require** entry of **PKI** security keys **for all offices** (one should be enough)
- Submissions through the Global Dossier should **appear immediately in the OPD** for the target office
- **Machine translation** should be available whenever feasible

Benefits of a Proof of Concept

- This simple case should drive the majority of issues for development of the active component

- Issues that may be enlightened:

  - Accomplish auto-population of data
  - Validate that active component can be implemented as an extension of the passive component
  - Identify security concerns
  - Establish hand-shaking between offices
  - Detect exception processing conditions
  - Determine whether common electronic forms would work
  - Assess issues relating to machine translation

ASSUMPTIONS for Address Change via Active Component

- Proof of Concept Pilot Applies ONLY to Already Filed Applications in a Family, i.e. applications filed in a **First Office** followed within the Paris Convention year by filings in **Second - Fifth Offices**

- Applicant submits a change of address in the **First Office**, through GD, and requests the **First Office** to transmit the change to one or more of the **Second – Fifth Offices**

- The change of address is processed and **appears in GD/OPD** for all offices requested

- For the convenience of the owner, if a **local counsel** has been retained they are **copied**, so the owner does not have to forward it.
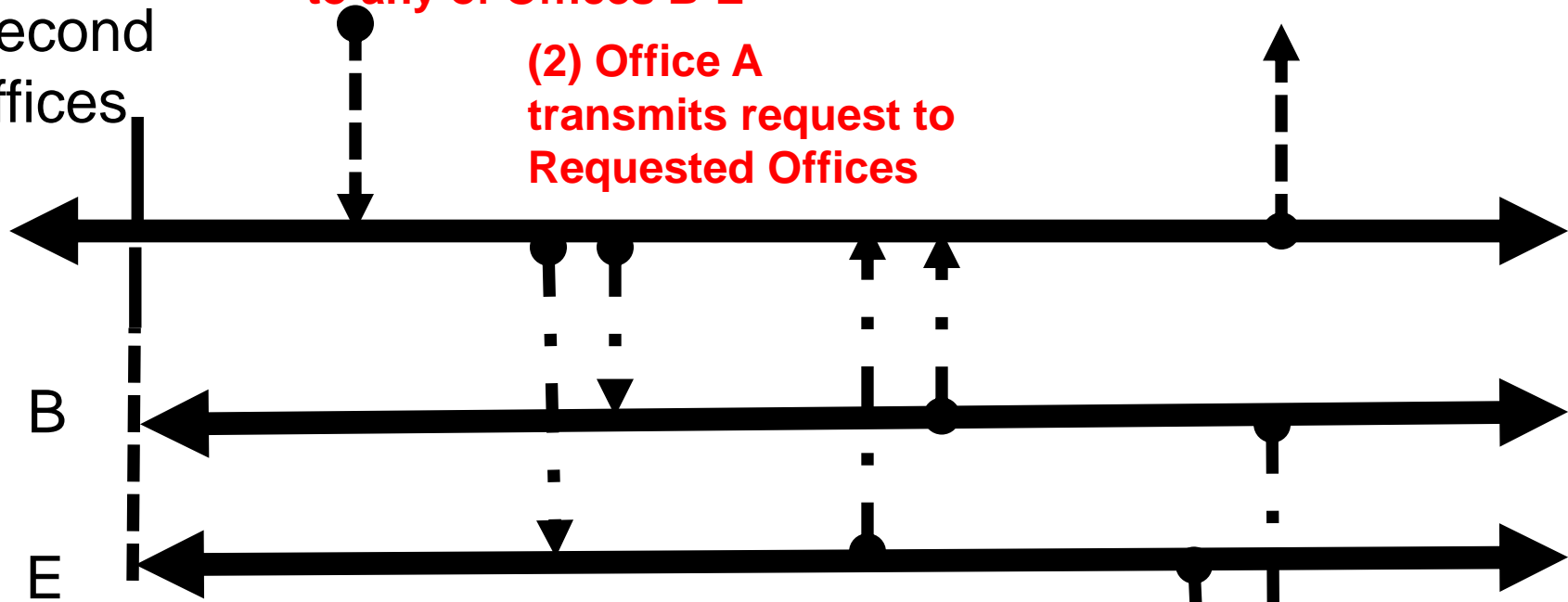
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Where First Filing is in Office A; Second in Offices B-E

(1) Change of address submitted to Office A, with request to transmit to any of Offices B-E

(5) Requester is Notified of Change in Requested Offices

(2) Office A transmits request to Requested Offices

A

B

E

(3) Requested Offices Make Changes; changes are reflected in GD/OPD

(4) Any Local Representative is notified

PILOT EXAMPLE - Active Component Used to Effect Remote Filing of Address Change in Offices A-E Using ONE PORTAL

Thank you