Report on IP5 Heads/Industry Meeting

The 4th IP5 Global Dossier Task Force Meeting
EPO Munich, Isar Building, Room 128
18 January 2017

IP5 Heads/Industry Meeting

➢ **DATE&LOCATION**

   June 1, 2016 @ Tokyo, Japan

➢ **TOPICS**

  1. Global Dossier

  2. Worksharing and Quality

  3. PHEP

  4. Further Topics

     1) IP5 Websites

     2) Future of IP5 Cooperation

1. Global Dossier

Progress Report of :

（1） Three implemented achievements of GD Passive
Components

> OPD for IP5 Examiners in 2013
> Linkage of OPD and WIPO-CASE in 2016
> Public Access to GD in 2016

（2） Five Priorities

1） Alerting

2） XML based documents

3） Applicant name standardization

4） Legal Status

5） Proof of concept

1. Global Dossier: 1) Alerting, 2) XML

1) Alerting

> Report of office capabilities for Alerting

> Proposed next steps

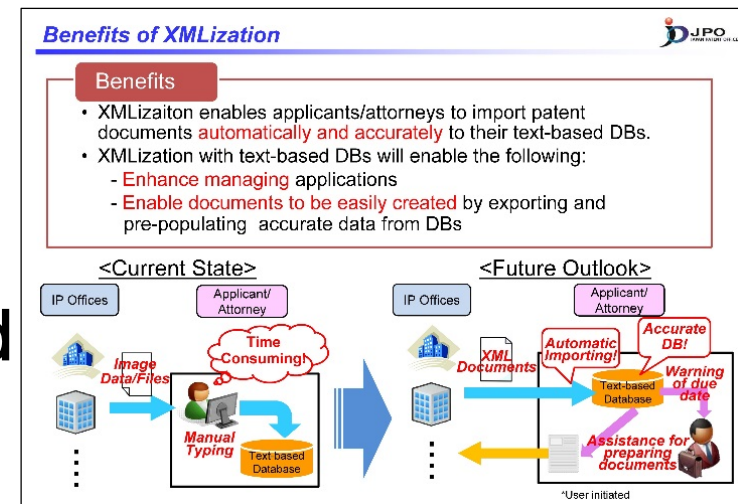> First implementation to be launched at IP5 Heads meeting May 2017

2) XML based documents

> Benefits of XMLization

> Grasp of barriers and solution proposal by JPO

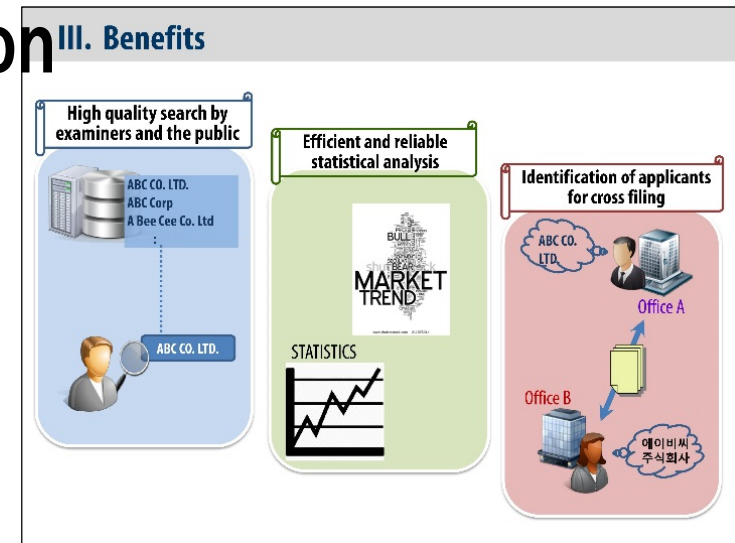> XML data provision through OPD, and Standardization in tagged data structure by 2019 or after
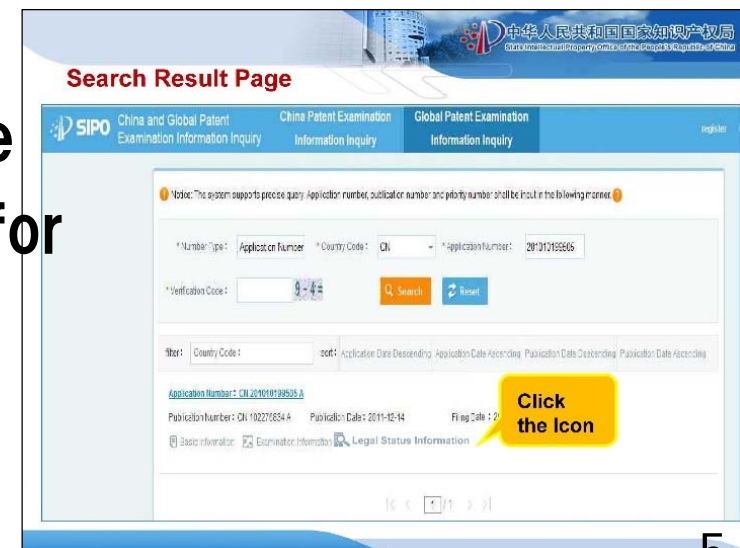
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3） Applicant name standardization

➢ Applicant name is a key element for managing and searching patent info.

➢ Standardization of applicant names for all family applications in IP5 at 2018

4） Legal status

➢ Report of Legal status Questionnaire

➢ Scope of documents and approach for data exchange

➢ Possibly to be Finished by 2020

5) Proof of concept

➢ Enhanced Scope Document
➢ Benefits of Proof of Concept
➢ First Year Action Plan （2016-2017）

Benefits of the Proof-of-Concept

- Establishing Active Component communication and handshaking processes between participating Offices
- Exception processing conditions can be identified, allowing for resolution procedures to be developed
- Desirability and feasibility of common electronic forms can be assessed
- Assessment of fit-for-purpose criteria for machine translations

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6) WIPO-CASE

➢ EPO linkage to WIPO-CASE by December 2016
➢ WIPO remarked WIPO-CASE for public access

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Progress Report of :

1．CEPRQM-Proposal of Procedure Rule Guidance
2．Common PPH Request Form
3．Next Steps of IP5 PPH
4．Collaborative Search Program（CSP）
5．PCT Collaborative Search & Examination（CS&E）
　　third pilot programme

Note: No IT matters appeared to be discussed.

Progress Report of :

1. Unity of Invention

2. Citation of Prior Art

➤ **"Implementing IT System"**

Anticipated Benefits

- Increase in patent quality

- Efficiency of examination is increased

- Examiner access to relevant prior art and supplemental information in a conveniently searchable manner

- Decreased applicant expense of submitting prior art documents and information disclosure statements

- Reduced burden for applicant compliance with duty to disclose information material to patentability

Proposed Timeline

- Stage 1: Three track parallel information gathering
  - Track 1 – IT & Data Source Gathering
  - Track 2 – Application Studies Data Gathering
  - Track 3 – Data gathering from internal and external stakeholders

- Stage 2: Information Review

- Stage 2.5: Business Solution Development

- Stage 3: IT Development and Implementation

3. Written Description/Sufficient of Disclosure

1) IP5 Website （EPO）

Aim of IP5 website

- **Transparency** and stakeholder involvement:
  - presentations and meeting material from Global Dossier Task Force (GDTF) and IP5 Industry meetings
  - IP5 news and an RSS feed
  - contact e-mail address

- **User-friendly** content:
  - projects relevant for users (e.g. Global Dossier, IP5 PPH, etc.)
  - useful background material (e.g. Catalogue of Differing Practices, IP5 patent system overview table)

European Patent Office

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2) Future of IP5 Cooperation （JPO）

IP5 Joint Statement in Tokyo 2016

The IP5 Heads will agree on an sign **IP5 Joint Statement in Tokyo 2016** regarding activities which enhance the sprit of the Joint Statement in 2015, with focus on being more user friendly, trusted and forward looking.

Three initiatives of IP5 Joint Statement in Tokyo 2016

1. *Enhancement of the Relationship with Users*

2. *Continue Providing High-Quality and Reliable Examination Results*

3. *Exploring the IP Offices' Readiness to Respond to Emerging Technologies*

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Thank you for your attention.

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