

**TABLE1.1:PRODUCTION INFORMATION EPO**

<b>PRODUCTION FIGURES FOR 1998 AND 1999</b>		
	<b>1998</b>	<b>1999</b>
<b>Filings</b>		
1. European applications	48 547	50 236
2. Euro-PCT international phase	64 797	71 514
3. Euro-PCT regional phase	33 704	39 123
Total including Euro-PCT international phase (1+2)	113 344	121 750
Total including Euro-PCT regional phase (1+3)	82 251	89 359
<b>Searches carried out</b>		
European searches (Euro + Euro-PCT supplm.)	48 875	52 577
PCT searches (PCT-SAE + PCT-SA)	37 452	43 076
Searches on behalf of national offices	15 459	15 349
Other searches	5 123	4 804
<b>Total production search</b>	<b>106 909</b>	<b>115 806</b>
<b>Examination: final actions performed</b>		
European examination	45 935	40 903
PCT Ch.II	25 039	28 996
Opposition (final action)	2 469	2 318
<b>Total final actions examination / opposition</b>	<b>73 443</b>	<b>72 217</b>
<b>Appeal</b>		
Technical appeals	954	1 060
PCT protests	8	10
Other appeals	63	49
<b>Total decisions appeal</b>	<b>1 025</b>	<b>1 119</b>

**TABLE 1.2:PRODUCTION INFORMATION JPO**

<b>PATENT PRODUCTION FIGURES 1998 AND 1999</b>			
		<b>1998</b>	<b>1999</b>
<b>Applications filed</b>			
	Domestic	359 381	360 180
	Foreign	42 551	45 475
	<b>Total</b>	401 932	405 655
<b>Grants</b>			
	Domestic	125 704	133 960
	Foreign	15 744	16 099
	<b>Total</b>	141 448	150 059
<b>Applications appeals</b>	<b>in</b>	14 157	14 650

**TABLE 1.3 : PRODUCTION INFORMATION USPTO**

<b>PATENT PRODUCTION FIGURES 1998 AND 1999</b>				
	<b>1998</b>		<b>1999</b>	
Application filed <sup>1</sup>	243 062		270 187	
First actions	209 180		230 326	
Grants				
U.S. residents	80 292	54.4%	83 911	54.7%
Japan	30 841	20.9%	31 105	20.3%
EPO	25 693	17.4%	24 807	16.2%
Others	10 694	7.2%	13 670	8.9%
Total Foreign	67 228	45.6%	69 582	45.3%
<b>Total</b>	147 520	100.0%	153 493	100.0%
PCT Chapter II	13 030		13 744	
<b>Applications in appeals and interference proceedings</b>				
	Appeals		Interference	
Contested	3 889	165	3 961	78
Disposed	4 286	191	4 689	187
Pending at EOY	8 908	425	8 180	316
<b>Number of patent cases in litigation</b>				
Total cases filed	51		69	
Total cases disposed	40		78	
Total EOY <sup>2</sup> cases pending	47		38	

<sup>1</sup>: For utility patents only.

<sup>2</sup>: End of Year (EOY) is the calendar year (December 31st).

**TABLE 3.6: TRILATERAL PATENT FAMILIES AS PROPORTION OF FIRST FILINGS**

Bloc of origin for which priority is claimed	Trilateral Patent Families claiming priority of	First Filings	Proportion Trilateral Patent Families of First Filings
EPC States	13 043	96 610	13.5%
Japan	14 482	319 261	4.5%
U.S.A.	16 821	107 233	15.7%
Subtotal	44 346	523 104	8.5%
Other countries	1 438	106 629	1.3%
Global total	45 784	629 733	7.3%

**Table 4.4.2 : STATISTICS ON PROCEDURES**

Actual figures have been rounded up.

(Definitions are given in the Annex)

"n.a." indicates unavailable data and "-" means not applicable

Rates (%)		EPO	JPO	USPTO
Examination	1998	91	48	100
	1999	91	50	100
Grant	1998	67	65	70
	1999	64	64	71
Opposition	1998	6.3	4.7	-
	1999	6.1	3.4	-
Maintenance	1998	69.6	n.a.	-
	1999	70.8	n.a.	-
appeal : - examinations	1998	51	-	6.0
	1999	50	-	6.0
- oppositions	1998	40	-	-
	1999	42	-	-
- examinations and oppositions <sup>1</sup>	1998	-	14 157	-
	1999	-	14 650	-
Pendency		EPO	JPO	USPTO
Pending applications in search	1998	68 000	-	-
	1999	77 500	-	-
Pendency search in months	1998	17.3	-	-
	1999	18.9	-	-
Applications awaiting request for examination	1998	14 500	2 155 566	-
	1999	16 200	2 148 354	-
Pending applications in examination	1998	148 100	n.a.	362 797
	1999	179 000	n.a.	400 872
Pendency first office action in months	1998	18.3	19.0	12.6
	1999	19.8	19.7	12.6
Pendency examination in months	1998	33.2	n.a.	24.4
	1999	35.3	n.a.	25.2
Pending applications in opposition	1998	3 270	n.a.	-
	1999	3 030	n.a.	-
Pendency opposition in months	1998	14.2	n.a.	-
	1999	14.2	n.a.	-

<sup>1</sup> For JPO only numbers are available

**Table 5 : STATISTICS ON OTHER WORK**

("n.a. indicates unavailable data, "-" means not applicable)

		EPO	JPO	USPTO
Requests for International Searches	1998	41 710	5 448	27 984
	1999	47 420	6 598	31 377
Requests for international Preliminary Examinations	1998	27 600	2 354	13 992
	1999	31 770	3 197	14 293
Searches for National Offices or Third Parties	1998	18 900	-	-
	1999	17 630	-	-
Design Patent Applications	1998	-	39 352	17 107
	1999	-	37 368	14 732
Utility Model Patent Applications	1998	-	10 917	-
	1999	-	10 283	-
Plant Patent Applications	1998	-	-	720
	1999	-	-	421
Re-issue Patent Applications	1998	-	-	592
	1999	-	-	448
Trademark Applications	1998	-	112 469	246 611
	1999	-	121 861	319 880