

Data protection statement on processing personal data in the context of the preparatory work envisaged before the European Patent Convention (EPC) 50 Years event

Protecting your privacy is of the utmost importance to the European Patent Office (EPO). The Office is committed to respecting and protecting your personal data and ensuring your rights as a data subject. All data of a personal nature that identify you directly or indirectly will be handled fairly, lawfully and with due care.

This processing operation is subject to the EPO Data Protection Rules (DPR).

The information in this communication is provided pursuant to Articles 16 and 17 of the DPR.

In 2023, the EPO will celebrate the 50th anniversary of the signing of the European Patent Convention (EPC). For the better planning, organisation and management of the event activities, this data protection statement explains the way in which PD 0.2, the organisational unit involved in the preparation of the event activities, will collect the relevant information (e.g. memorabilia, contact details) and prepare the event activities.

The EPC 50 Years is organised in six subsections, or "streams", namely:

- (1) Stream 1: Council Ceremony
- (2) Stream 2: General Communication
- (3) Stream 3: Open Week
- (4) Stream 4: Events
- (5) Stream 5: Documentation
- (6) Stream 6: Art@EPO

1. What is the nature and purpose of the processing operation?

The present data protection statement describes exclusively how Principal Directorate Communication (PD Communication or PD 0.2) processes the personal data collected from internal staff and relevant external stakeholders for the purpose of preparing and pre-organising event activities in the context of the EPC 50 Years celebration.

In this data protection statement, for the purposes of the preparation of event activities, the individuals referred to as "internal staff" are:

- (i) staff members
- (ii) external contractors working with the Office
- (iii) Pan-European Seal Trainees (or Young Professionals) and
- (iv) former employees (pensioners).

Other additional purposes for data processing are:

- collecting personal data from external stakeholders for the preparation and future development of activities carried out within the relevant streams
- establishing contact points with relevant entities that will collaborate with the EPO in the planning, organisation and development of the stream activities
- promotional and communication purposes such as creating audio-visual content to be published in EPO internal and external networks as well as on social media channels or the drafting of news items for internal and external publication, including print media & printed marketing material (e.g. hardcopies)

For the purpose of preparing and pre-organising the EPC 50 Years event activities, PD 0.2 will process internal staff's personal data in different ways (e.g. through emails, surveys, online forms, etc.) and for different outcomes (i.e. different event activities will be organised, for which different categories of personal data will be collected). For example, information with regard to internal staff's experience at the EPO (e.g. stories about memorable moments at the EPO) will be collected, and the materials submitted (e.g. through MS Forms) may be published on a multimedia intranet area and on EPO external channels (website and social media channels).

The EPO may use the materials on social media to inform people about and promote event activities through widely used and contemporary channels (i.e. <u>YouTube</u>, <u>LinkedIn</u>, <u>Instagram</u>, <u>Twitter</u>, <u>Facebook</u>). As these platforms have their own privacy policies for the content they host, we invite you to consult them for additional information.

Should you wish to have more information on how the EPO processes your personal data using the MS Forms, please click the following link.

Should you wish to have more information on how the EPO processes your personal data at the time of registration for an event, please see dedicated Data Protection Statement on the processing of personal data in the framework of the <u>organisation and management of EPO meetings and events</u>.

The processing is not intended to be used for any automated decision-making, including profiling.

Your personal data will not be transferred to recipients outside the EPO which are not covered by Article 8(1),

(2) and (5) DPR unless an adequate level of protection is ensured. In the absence of an adequate level of protection, a transfer can only take place if appropriate safeguards have been put in place and enforceable data subject rights and effective legal remedies for data subjects are available, or if derogations for specific situations as per Article 10 DPR apply.

2. What personal data do we process?

For the preparation of event activities, only strictly necessary categories of personal data will be processed. For example:

From internal staff:

- contact details:
 - o title, if applicable
 - $\circ \quad \text{first name and last name} \\$
 - o email address (personal or professional)
 - status (e.g. staff member, PES Trainee, Young Professional, pensioner)
- work-related information:
 - a EPO location
 - working period at the EPO (start and finish dates)
 - o function
 - o directorate and industry sector, if applicable
- experience-related information:
 - o CV and/or short biographies
 - work portfolios
- nationality
- audio-visual information (e.g. photos and videos)
- statements, comments and/or opinions (e.g. personal testimonials)
- any other material provided by the Staff which is necessary in the context of the preparation of the EPC 50 Years celebration

From external stakeholders:

- · contact details:
 - o title, if applicable
 - o first and last name
 - o email address (personal or professional)
 - place of residence, if applicable
- experience-related information:
 - CV and/or short biographies
 - work portfolios

- nationality, if applicable
- audio-visual information (e.g. photos, videos and/or profile pictures)
- statements, comments and/or opinions
- any other material provided by external stakeholders which is necessary in the context of the preparation of the EPC 50 Years event activities they wish (or are asked) to participate in

Please kindly note that personal data of third parties (i.e. information about identified or identifiable individuals who are not the internal staff providing the information or audio-visual content (e.g. pictures or videos)), such as contact details or pictures/videos, are provided directly by the internal staff, who are responsible for ensuring that they are allowed to share such material with the EPO for the purposes described in this data protection statement.

3. Who is responsible for processing the data?

The processing of personal data is carried out under the responsibility of the EPO's Principal Directorate Communication (PD 0.2) acting as the EPO's delegated data controller.

Personal data are processed by the EPO staff in PD Communication involved in the management of the relevant initiative, project or activity of PD 0.2.

External contractors involved in receiving the data via online forms may also process the information provided, including access to the personal data.

4. Who has access to your personal data, and to whom are they disclosed?

Personal data are disclosed on a need-to-know basis to the EPO staff working in PD Communication or any other organisational unit involved in the preparation of the EPC 50 Years celebration.

Personal data may be disclosed to third-party service providers for maintenance and support purposes.

Personal data will only be shared with authorised persons responsible for the necessary processing operations. They will not be used for any other purposes or disclosed to any other recipients.

5. How do we protect and safeguard your information?

We take appropriate technical and organisational measures to safeguard and protect your personal data from accidental or unlawful destruction, loss, alteration and unauthorised disclosure or access.

All personal data are stored in secure IT applications according to the EPO's security standards. Appropriate levels of access are granted individually only to the above-mentioned recipients.

For systems hosted at EPO premises, the following base security measures generally apply:

- user authentication and access control (e.g. role-based access control to the systems and network, principles of need-to-know and least privilege)
- logical security hardening of systems, equipment and network
- physical protection: EPO access controls, additional access controls to datacentre, policies to book offices
- transmission and input controls (e.g. audit logging, systems and network monitoring)
- security incident response: 24/7 monitoring for incidents, on-call security expert

For personal data processed on systems not hosted at EPO premises, the provider(s) processing the personal data has/have committed in a binding agreement to comply with its/their data protection obligations stemming from the applicable data protection legal framework(s). Furthermore, a privacy and security risk assessment has been carried out by the EPO. These systems are required to have implemented appropriate technical and organisational measures such as: physical security measures; access and storage control measures; securing data at rest (e.g. by means of encryption); user, transmission and input control measures (e.g. network firewalls, network intrusion detection system (IDS), network intrusion protection system (IPS), audit logging); and conveyance control measures (e.g. securing data in transit by means of encryption).

6. How can you access, rectify and receive your data, request that your data be erased orrestrict/object to processing? Can your rights be restricted?

You have the right to access, rectify and receive your personal data, to have your data erased and to restrict and object to the processing of your data, as outlined in Articles 18 to 24 of the EPO Data Protection Rules.

If you would like to exercise any of these rights, please write to the delegated data controller at <u>PDComm-DPL@epo.org.</u> In order to enable us to respond more promptly and precisely, you always need to provide certain preliminary information with your request. We therefore encourage you to fill in this form (for <u>internals</u>, for <u>pensioners</u>, for <u>externals</u>) and submit it with your request.

We will reply to your request without undue delay and in any event within one month of receipt of the request. However, Article 15(2) DPR provides that this period may be extended by two further months where necessary in view of the complexity and number of requests received. We will inform you of any such delay.

7. What is the legal basis for processing your data?

Personal data are processed in accordance with Article 5(a) DPR, which states that the "processing is necessary for the performance of a task carried out in the exercise of the official activities of the European Patent Organisation or in the legitimate exercise of the official authority vested in the controller, which includes the processing necessary for the Office's management and functioning". Personal data are processed on the basis of the following legal instrument: <u>EPOData Protection Rules</u>.

8. How long can data be kept?

Personal data processed by the data controller or the service providers under its supervision are generally stored for the period of time necessary to achieve the purpose for which they have been processed.

Personal data will be kept only by PD 0.2 for the time needed to achieve the purposes for which they are processed and will then be deleted from its databases as follows:

Personal data related to sound, video and audio-visual recording/photographs of meetings and events are stored for educational, institutional, historical, informational and/or promotional purposes for a period of 2, 10 or 25 years according to the retention categories reflected in the PD Communication Audio-visual Retention Policy, which can be provided upon request. Events that can fall in the mentioned retention categories are:

- recurrent events with a low level of newsworthiness (2 years, renewable)
- non-recurrent events related to the core business of the EPO, for example related to the promotion of patent knowledge activities (10 years, renewable)
- recurrent events with a high level of newsworthiness of the core activity of PD Communication at the EPO or landmark events of the EPO (e.g. European Inventor Award, European Patent Convention 50 Years celebration) (25 years, renewable)

Personal data related to contact details (e.g. name, surname, email address, affiliation) are stored for and deleted after a maximum period of two years as part of an internal EPO contact details database owned by PD Communication and shared internally among EPO organisational units in order for them to contact data subjects for similar future meetings/events.

 Nevertheless, in the event that the data subject shows interest in continuing to be part of this list, Principal Directorate Communication may keep their data for a further period of up to five years, or until the data subject indicates otherwise or the data controller becomes aware that the data subject can no longer be part of the list, e.g. receipt of an automatic error reply that confirms that the contact details no longer exist. In order to prevent inaccurate data from being kept indefinitely, after the twoyear maximum period, data subjects will be asked regularly whether they wish to remain on the list and whether their data are still accurate.

Additionally, for external stakeholders who agreed to be contacted for future events, personal data such as short biographies, CVs or any additional personal data provided by them are stored for a maximum period of three years, until the data subject indicates otherwise or until the EPO becomes aware that the data subject can no longer be part of the database (e.g. upon retirement of the external stakeholder). In order to prevent inaccurate data from being kept indefinitely, after the three-year maximum period, external stakeholders will be asked regularly whether they wish the EPO to keep the personal data.

Where personal data, for promotional purposes only, are made available on social media, the retention period will be determined by such platforms.

In the event of a formal appeal/litigation, all data held at the time of the formal appeal/litigation shall be retained until the completion of its process.

9. Contact information

If you have any questions about the processing of your personal data, please write to the delegated data controller at <u>PDComm-DPL@epo.org.</u>

Externals may write to the delegated data controller and/or the Data Protection Officer at DPOexternalusers@epo.org.

Internals may also contact our Data Protection Officer at dpo@epo.org.

10. Review and legal redress

If you believe that the processing infringes your rights as a data subject, you have the right to request review by the controller under Article 49 DPR and, if you disagree with the outcome of the review, the right to seek legal redress under Article 50 DPR.