

Terms and conditions of use

This public consultation invites comments from people or stakeholders who are interested in the EPO's Observatory.

Contributions that infringe the "Terms and conditions of use for the website" (and in particular Article 9 thereof) or the following conditions will not be considered for publication:

- Contributions must be in English, French or German.
- Contributions must be related to the topics covered in the public consultation link.
- Contributions should not name individual staff members of the EPO.
- Contributions should not contain personal attacks.
- Contributions should not use offensive language, or contain racist, sexist or homophobic remarks
- Contributions should refrain from mentioning pending applications or revealing confidential information.
- Contributions should not advertise for businesses or services.

Data protection statement on the processing of personal data related to stakeholder consultation on the work plan 2023-2025 of the EPO Observatory on Patents and Technology

Protecting your privacy is of the utmost importance to the European Patent Office (EPO). We are committed to protecting your personal data and ensuring respect for data subjects' rights when performing our tasks and providing our services. All data of a personal nature that identify you directly or indirectly will be processed lawfully, fairly and with due care.

The processing operations described below are subject to the EPO Data Protection Rules (DPR).

The information in this statement is provided in accordance with Articles 16 and 17 DPR.

The work plan of the EPO Observatory is drawn biennially, and the EPO might conduct an online consultation for the creation of each work plan. This data protection statement relates to the processing of personal data during such online stakeholder consultations.

1. What is the nature and purpose of the processing operation?

The consultation serves to collect input and feedback from stakeholders on the proposed topics and activities covered by the Observatory, to ensure they are reflected in the 2023-2025 biennial internal work plan of the Observatory, and to improve the services that we offer. In this context, personal data are processed to relate individual comments, preferences and wishes to specific stakeholder groups, thus allowing proper assessment of the relevance of the feedback.

Participation in the consultation process is voluntary. Participants file their comments through a webform on the EPO's website. The information collected will not be published on any internal or external channel.

Should you wish to have more information on how the EPO processes your personal data using Microsoft 365 applications, please refer to the specific <u>Data Protection Statement</u>.

The processing is not intended to be used for any automated decision-making, including profiling.

Your personal data will not be transferred to recipients outside the EPO which are not covered by Article 8(1), (2) and (5) DPR unless an adequate level of protection is ensured. In the absence of an adequate level of protection, a transfer can only take place if appropriate safeguards have been put in place and enforceable data subject rights and effective legal remedies for data subjects are available, or if derogations for specific situations as per Article 10 DPR apply.

2. What personal data do we process

The EPO processes the following categories of personal data of from the participants (internals and externals) in the user consultation:

- Contact Details
 - First name
 - Surname
- Work affiliation
- Statements/Comments/Opinions as part of the public consultation questions.

3. Who is responsible for processing the data?

The processing of personal data is carried out under the responsibility of the EPO Principal Directorate Communication acting as the EPO's delegated data controller.

Personal data are processed by EPO staff in PD Communication and Chief International and Legal Officer (CILO) involved in the management of the respective initiative, project, activity referred to in this statement.

External contractors involved in providing and maintaining platforms for the webform and tools for the processing of results may also process the information provided, which may include accessing it.

4. Who has access to your personal data and to whom are they disclosed?

A limited number of employees in Principal Directorate Communication and Chief International and Legal Officer (CILO) have access to the personal data collected in the webform, on a need-to-know basis. Any other data shared as part of the results of the consultation will be displayed in anonymous form.

Personal data may be disclosed to third-party service providers for the purpose of providing a consultation platform and tools for the processing of results.

Personal data will only be shared with authorised persons responsible for the necessary processing operations. They will not be used for any other purposes or disclosed to any other parties.

5. How do we protect and safeguard your personal data?

We take appropriate technical and organisational measures to safeguard and protect your personal data from accidental or unlawful destruction, loss or alteration and unauthorised disclosure or access.

All personal data are stored in secure IT applications in accordance with the EPO's security standards. Appropriate levels of access are granted individually only to the above-mentioned recipients.

For systems hosted on EPO premises, the following basic security measures generally apply:

- user authentication and access control (e.g. role-based access to the systems and network, need-to-know and least-privilege principles).
- logical security hardening of systems, equipment and the network.
- physical protection: EPO access controls, additional access controls to the data centre, policies on

locking offices.

- transmission and input controls (e.g. audit logging, systems and network monitoring)
- security incident response: 24/7 monitoring for incidents, on-call security expert.

For personal data processed on systems not hosted at EPO premises, most of the provider(s) processing the personal data has committed in a binding agreement to comply with its data protection obligations stemming from the applicable data protection legal framework(s). Furthermore, a privacy and security risk assessment has been carried out by the EPO. These systems are required to have implemented appropriate technical and organisational measures such as: physical security measures, access and storage control measures, securing data at rest (e.g. by encryption); user, transmission and input control measures (e.g. network firewalls, network intrusion detection system (IDS), network intrusion protection system (IPS), audit logging); conveyance control measures (e.g. securing data in transit by encryption).

6. How can you access, rectify and receive your data, request that your data be erased, or restrict/object to processing? Can your rights be restricted?

As a data subject you have the right to access, rectify and receive your personal data, not to be subject to a decision based solely on automated processing, to have your data erased and to restrict and/or object to the processing of your data (Articles 18 to 24 DPR).

The right to rectification only applies to inaccurate or incomplete factual data processed in the context of the EPO's tasks, duties and activities. It does not apply to subjective statements, including those made by third parties.

If you would like to exercise any of these rights, please write to the delegated data controller at PDCommDPL@epo.org. In order to enable us to respond more promptly and precisely, you always need to provide certain preliminary information with your request. We therefore encourage you to fill in this form (for internals, for externals) and submit it with your request.

We will reply to your request without undue delay and in any event within one month of receiving it. However, Article 15(2) DPR provides that this period may be extended by two further months where necessary in view of the complexity and number of requests received. We will inform you of any such delay.

7. What is the legal basis for processing your data?

Personal data is processed in accordance with Article 5(a) DPR, which states that the 'processing is necessary for the performance of a task carried out in the exercise of the official activities of the European Patent Organisation or in the legitimate exercise of the official authority vested in the controller, which includes the processing necessary for the Office's management and functioning'.

8. For how long do we keep your data?

Personal data processed by the data controller or the service providers under its supervision are generally stored for the period necessary to achieve the purpose for which they have been processed.

Personal data will be deleted in a maximum of three (3) months after the end of the user consultation.

In the event of a formal appeal/litigation, all data held at the time of the formal appeal/litigation shall be retained until the completion of its process.

9. Contact information

If you have any questions about the processing of your personal data, please write to the delegated data controller at PDComm-DPL@epo.org. Externals may write to the delegated data controller and/or the Data Protection Officer at DPOexternalusers@epo.org. Internals may also contact our Data Protection Officer at dpo@epo.org.

Review and legal redress

If you consider that the processing infringes your rights as a data subject, you have the right to request review by the controller under Article 49 DPR and, if you disagree with the outcome of the review, the right to seek legal redress under Article 50 DPR.